

# STRUCTURE of NUCLEI from LATTICE SIMULATIONS

**Ulf-G. Meißner, Univ. Bonn & FZ Jülich**

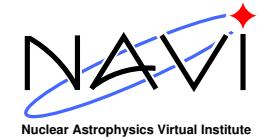
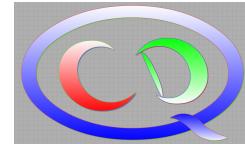
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- Intro: Ab initio calculation of atomic nuclei
- Light nuclei and the spectrum of  $^{12}\text{C}$
- Structure and spectrum of  $^{16}\text{O}$
- Towards medium-mass nuclei
- Taming the sign problem: Simulations of  $^{10}\text{Be}$  and  $^{10}\text{C}$
- The fate of carbon-based life as a function of fundamental parameters
- Outlook

# Ab initio calculations of atomic nuclei

# INGREDIENTS

- Nuclear binding is shallow:  $E/A \leq 8 \text{ MeV}$

⇒ Nuclei can be calculated from the A-body Schrödinger equation:

$$H\Psi_A = E\Psi_A$$

- Forces are of (dominant) two- and (subdominant) three-body nature:

$$V = V_{NN} + V_{NNN}$$

⇒ can be calculated **systematically** and to **high-precision**

→ slide

Weinberg, van Kolck, Epelbaum, UGM, Entem, Machleidt, ...

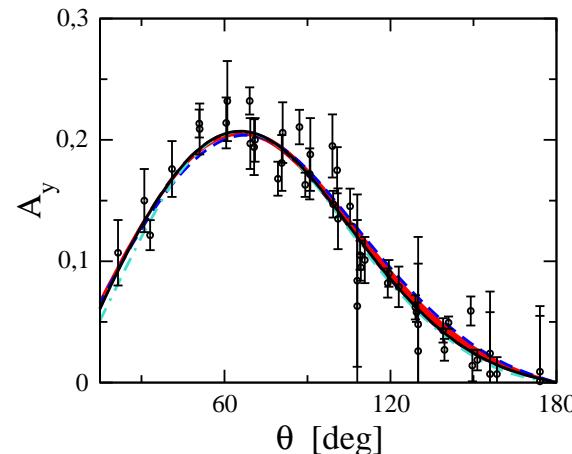
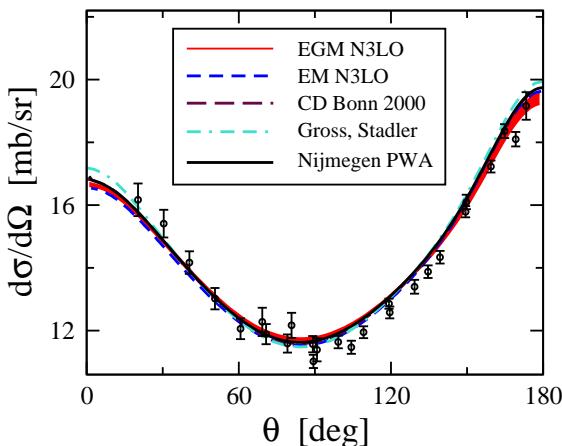
⇒ fit all parameters in  $V_{NN} + V_{NNN}$  from 2- and 3-body data

⇒ exact calc's of systems with  $A \leq 4$  using Faddeev-Yakubowsky machinery

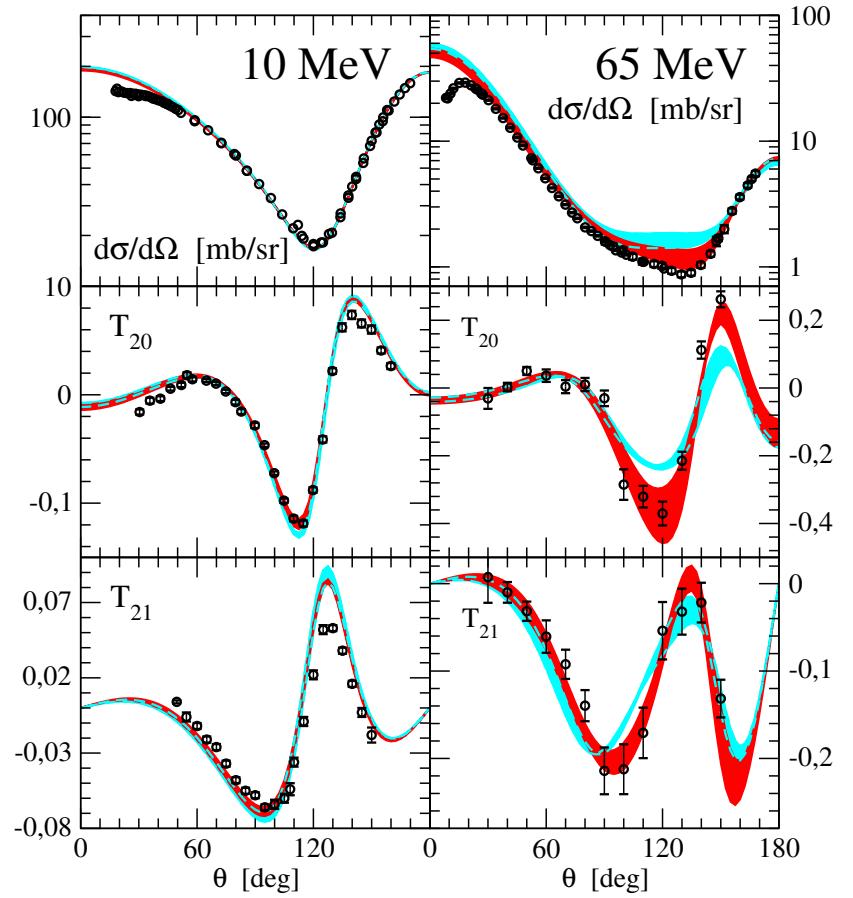
But how about *ab initio* calculations for systems with  $A \geq 5$ ?

# FEW-NUCLEON SYSTEMS from CHIRAL EFT

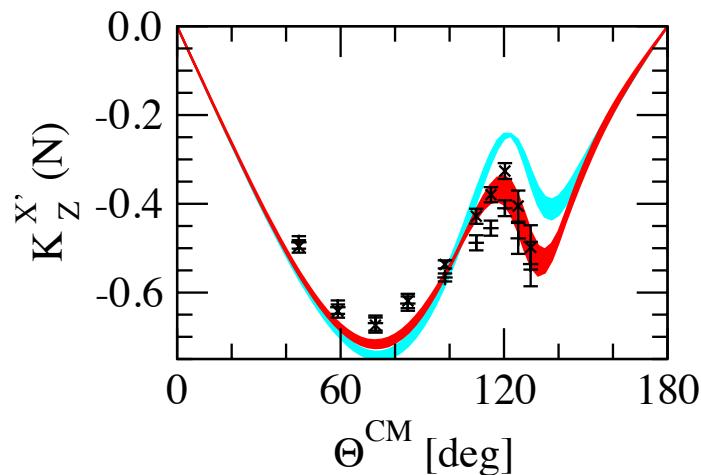
- np scattering



- nd scattering



- pol. transfer in pd scattering



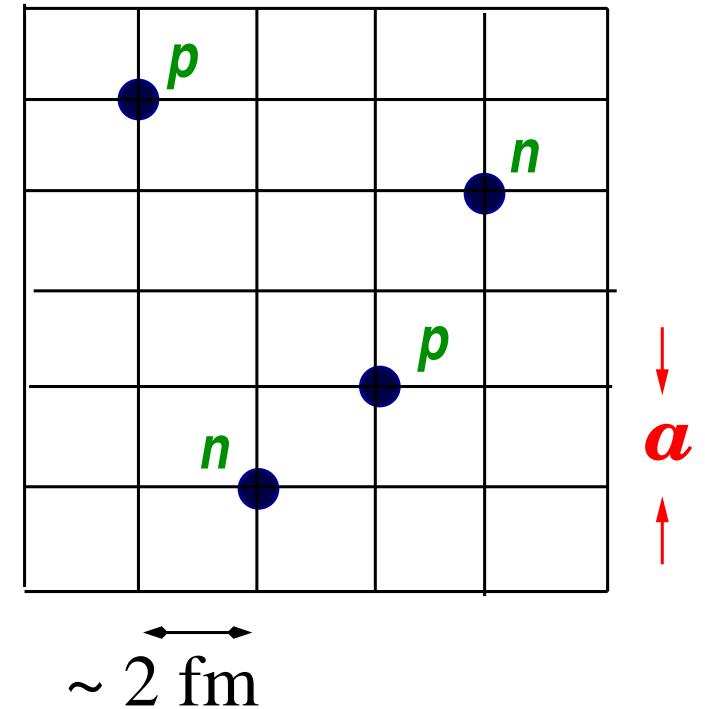
Epelbaum, Hammer, UGM,  
Rev. Mod. Phys. **81** (2009) 1773

# NUCLEAR LATTICE SIMULATIONS

Frank, Brockmann (1992), Koonin, Müller, Seki, van Kolck (2000) , Lee, Borasoy, Schäfer, Phys.Rev. **C70** (2004) 014007, . . .  
 Borasoy, Krebs, Lee, UGM, Nucl. Phys. **A768** (2006) 179; Borasoy, Epelbaum, Krebs, Lee, UGM, Eur. Phys. J. **A31** (2007) 105

- *new method* to tackle the nuclear many-body problem
- discretize space-time  $V = L_s \times L_s \times L_s \times L_t$ :  
nucleons are point-like fields on the sites
- discretized chiral potential w/ pion exchanges  
and contact interactions + Coulomb + I-violation
- typical lattice parameters

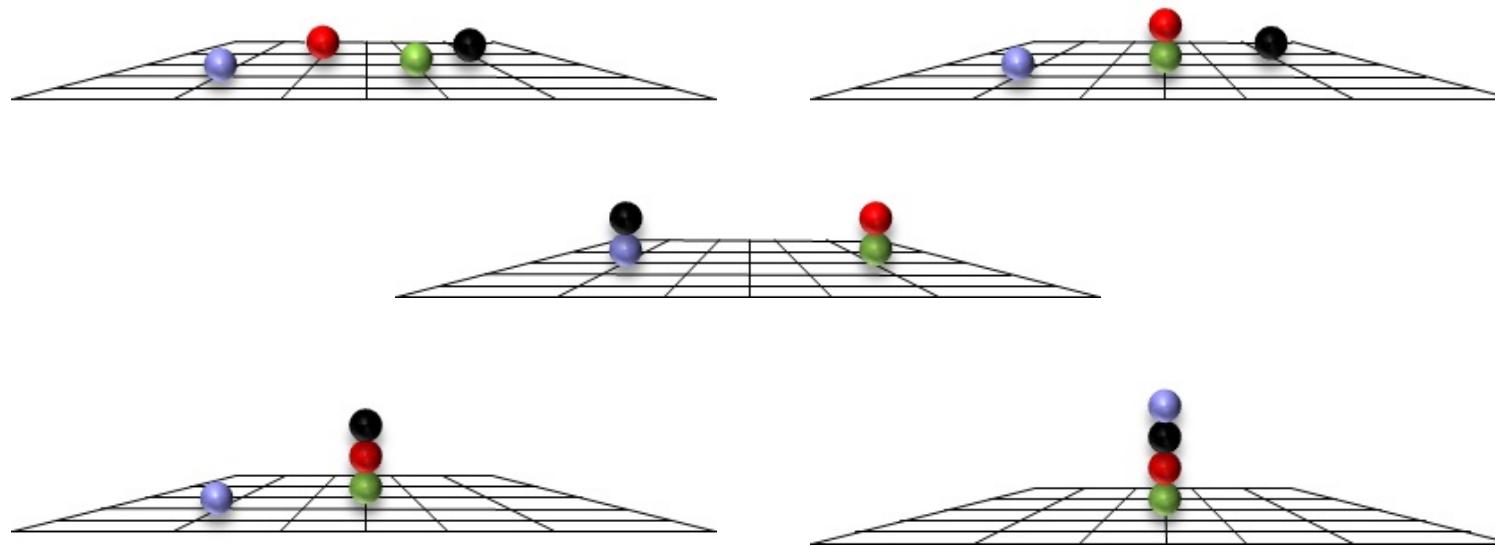
$$\Lambda = \frac{\pi}{a} \simeq 300 \text{ MeV} \text{ [UV cutoff]}$$



- strong suppression of sign oscillations due to approximate Wigner SU(4) symmetry
- hybrid Monte Carlo & transfer matrix (similar to LQCD)

J. W. Chen, D. Lee and T. Schäfer, Phys. Rev. Lett. **93** (2004) 242302

# CONFIGURATIONS



- ⇒ all *possible* configurations are sampled
- ⇒ *clustering* emerges *naturally*
- ⇒ perform *ab initio* calculations using only  $V_{NN}$  and  $V_{NNN}$  as input
- ⇒ grand challenge: the spectrum of  $^{12}\text{C}$  → projection MC

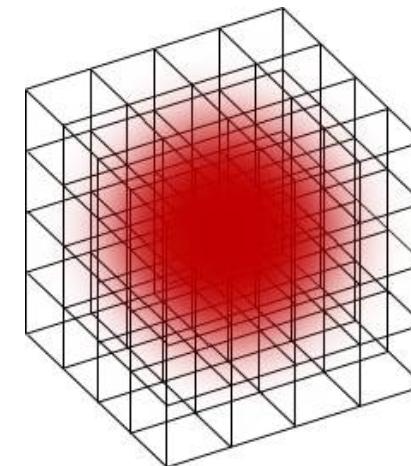
# PROJECTION MONTE CARLO TECHNIQUE

- General wave function:

$$\psi_j(\vec{n}) , \quad j = 1, \dots, A$$

- States with well-defined momentum:

$$L^{-3/2} \sum_{\vec{m}} \psi_j(\vec{n} + \vec{m}) \exp(i \vec{P} \cdot \vec{m}) , \quad j = 1, \dots, A$$



- Insert clusters of nucleons at initial/final states (spread over some time interval)
  - allows for all type of wave functions (shell model, clusters, ...)
  - removes directional bias

shell-model type

$$\psi_j(\vec{n}) = \exp[-c\vec{n}^2]$$

$$\psi'_j(\vec{n}) = n_x \exp[-c\vec{n}^2]$$

$$\psi''_j(\vec{n}) = n_y \exp[-c\vec{n}^2]$$

$$\psi'''_j(\vec{n}) = n_z \exp[-c\vec{n}^2]$$

cluster type

$$\psi_j(\vec{n}) = \exp[-c(\vec{n} - \vec{m})^2]$$

$$\psi'_j(\vec{n}) = \exp[-c(\vec{n} - \vec{m}')^2]$$

$$\psi''_j(\vec{n}) = \exp[-c(\vec{n} - \vec{m}'')^2]$$

$$\psi'''_j(\vec{n}) = \exp[-c(\vec{n} - \vec{m}''')^2]$$

- shell-model w.f.s do not have enough 4N correlations  $\sim \langle (N^\dagger N)^2 \rangle$

# COMPUTATIONAL EQUIPMENT

- Past = JUGENE (BlueGene/P)
- Present = JUQUEEN (BlueGene/Q)



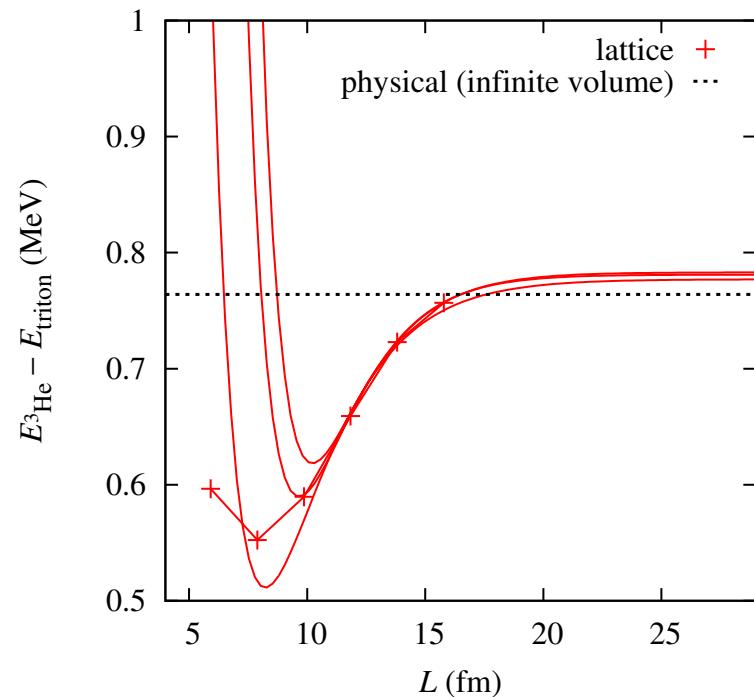
6 Pflops

# Light nuclei and the spectrum of $^{12}\text{C}$

# RESULTS

- fix parameters from 2N scattering and two 3N observables [NNLO: 9+2]
- some ground state energies and differences

E [MeV]	NLEFT	Exp.
${}^3\text{He} - {}^3\text{H}$	0.78(5)	0.76
${}^4\text{He}$	-28.3(6)	-28.3
${}^8\text{Be}$	-55(2)	-56.5
${}^{12}\text{C}$	-92(3)	-92.2

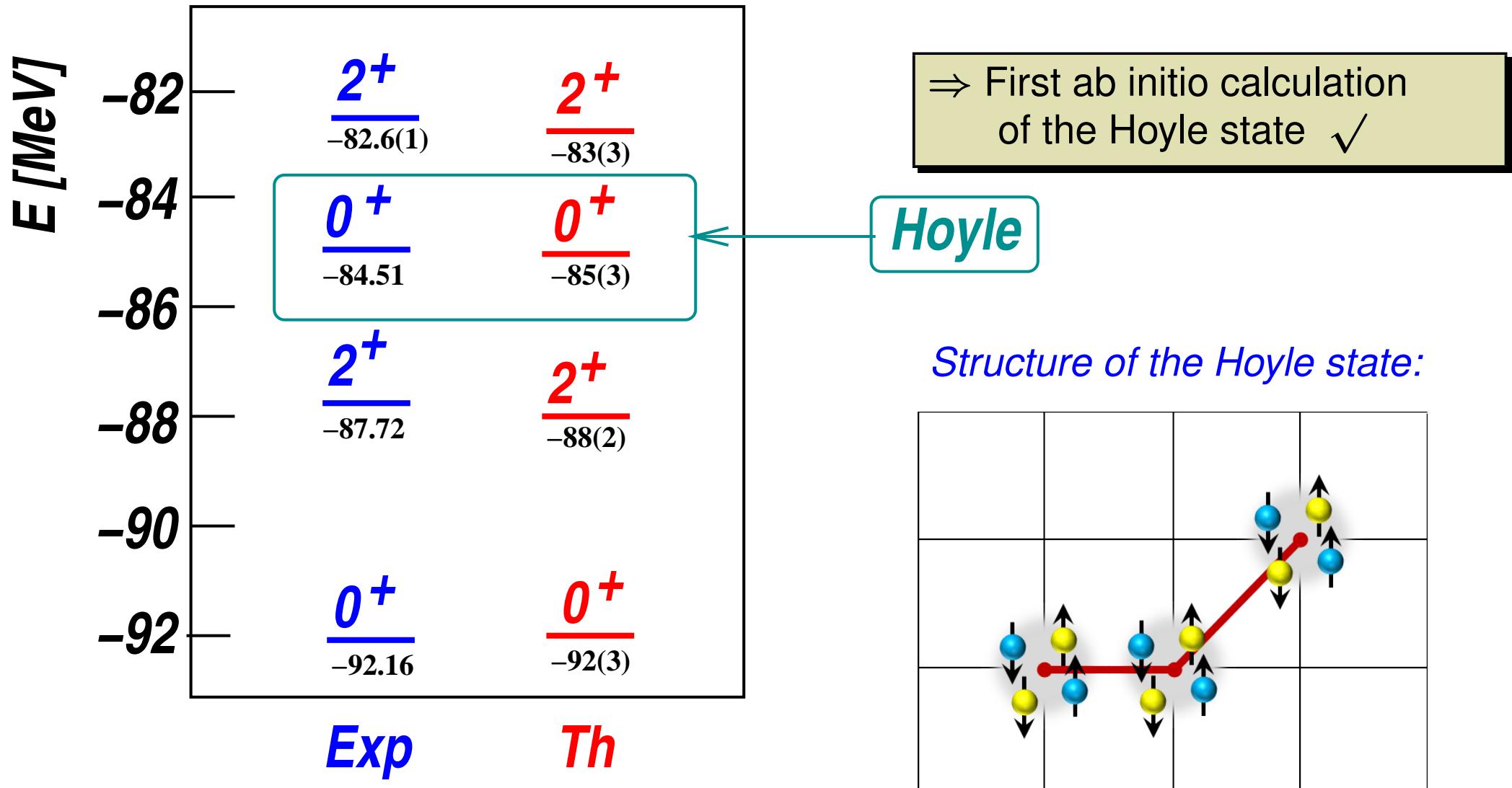


- promising results [3NFs very important]
  - new method to decrease the systematic errors (triangulation)
- ⇒ uncertainties reduced by a factor of 10, e.g.  $E({}^8\text{Be}) = -56.3(2)$  MeV

# The SPECTRUM of CARBON-12

<sup>12</sup>C

- After  $8 \cdot 10^6$  hrs JUGENE/JUQUEEN (and “some” human work)



# SPECTRUM of $^{12}\text{C}$

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- Summarizing the results for carbon-12 at NNLO:

	$0_1^+$	$2_1^+$	$0_2^+$	$2_2^+$
2N	-77 MeV	-74 MeV	-72 MeV	-70 MeV
3N	-15 MeV	-15 MeV	-13 MeV	-13 MeV
2N+3N	-92(3) MeV	-89(3) MeV	-85(3) MeV	-83(3) MeV
Exp.	-92.16 MeV	-87.72 MeV	-84.51 MeV	-82.6(1) MeV [1,2] -82.32(6) MeV [3] -81.1(3) MeV [4] -82.13(11) MeV [5]

- [1] Freer et al., Phys. Rev. C 80 (2009) 041303
- [2] Zimmermann et al., Phys. Rev. C 84 (2011) 027304
- [3] Hyldegaard et al., Phys. Rev. C 81 (2010) 024303
- [4] Itoh et al., Phys. Rev. C 84 (2011) 054308
- [5] Zimmermann et al., Phys. Rev. Lett. 110 (2013) 152502

- importance of **consistent** 2N & 3N forces
- good agreement w/ experiment, can be improved [partly done]

# EM TRANSITIONS, RADII etc.

- So far only LO results (need algorithmic improvements)
- RMS charge radii
- Quadrupole moments

	LO	Exp.
$0_1^+$	2.2(2) fm	2.47(2) fm
$2_1^+$	2.2(2) fm	—
$0_2^+$	2.5(2) fm	—
$2_2^+$	2.5(2) fm	—

	LO	Exp.
$2_1^+$	8(1) e fm	6(3) e fm
$2_2^+$	-13(2) e fm	—

- EM transition strength

	LO	Exp.
$B(E2, 2_1^+ \rightarrow 0_1^+)$	7(1) $e^2$ fm $^4$	7.6(4) $e^2$ fm $^4$
$B(E2, 2_1^+ \rightarrow 0_2^+)$	1(1) $e^2$ fm $^4$	2.6(4) $e^2$ fm $^4$

- consistent with overbinding at LO
- results of other approaches: FMD Chernyak et al. (2007)  
NCSM Forssen, Roth, Navratil (2011)

# Spectrum & structure of $^{16}\text{O}$

# STRUCTURE of $^{16}\text{O}$

- Mysterious nucleus, despite modern ab initio calcs

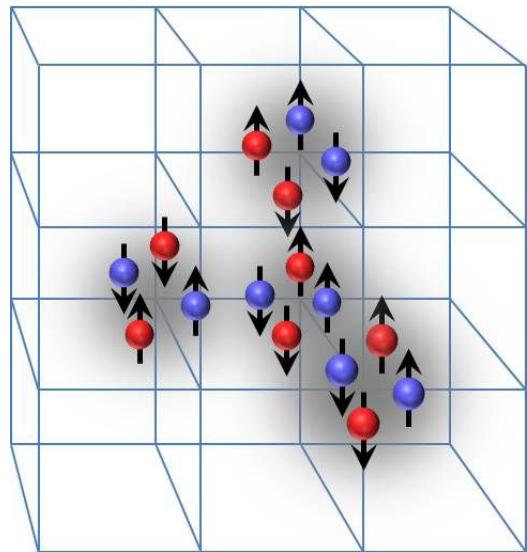
Hagen et al. (2010), Roth et al. (2011), Hergert et al. (2013)

- Alpha-cluster models since decades, some exp. evidence

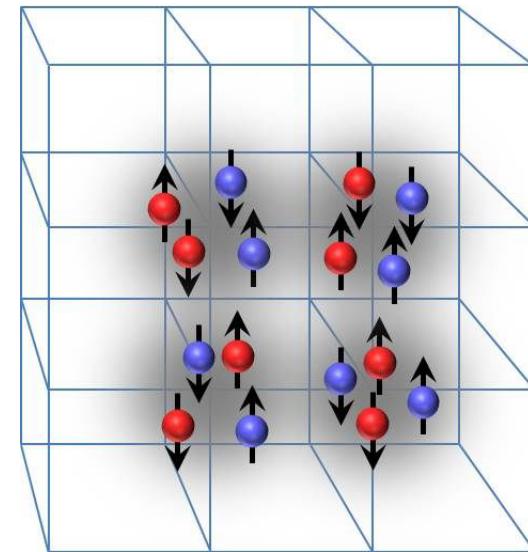
Wheeler (1937), Dennison (1954), Robson (1979), . . . , Freer et al. (2005)

- Relevant configurations:

Tetrahedron (A)



Square (narrow (B) and wide (C))



# DECODING the STRUCTURE of $^{16}\text{O}$

Epelbaum, Krebs, Lähde, Lee, UGM, Rupak, Phys. Rev. Lett. **112** (2014) 102501

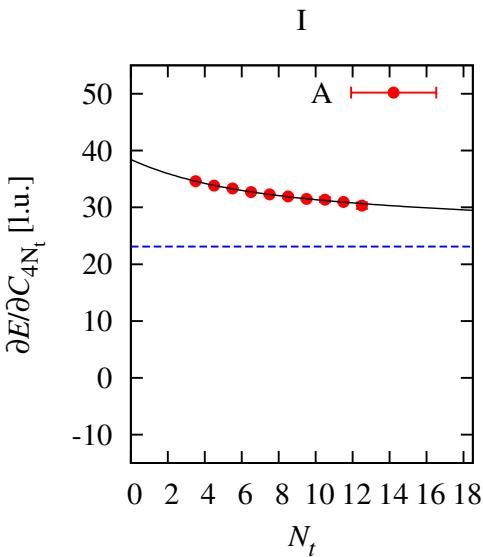
- measure the 4N density, where each of the nucleons is placed at adjacent points

$\Rightarrow 0_1^+$  ground state: mostly tetrahedral config

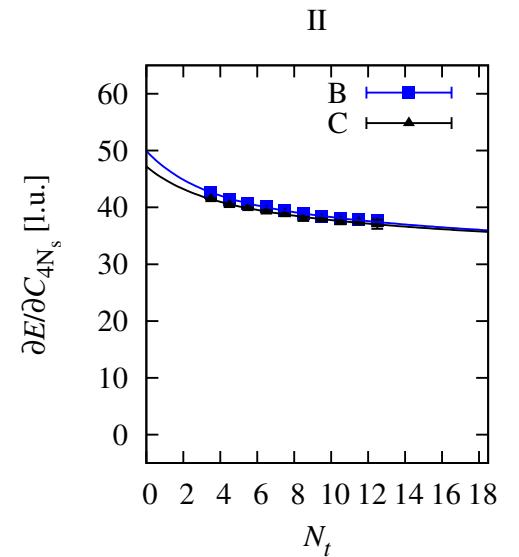
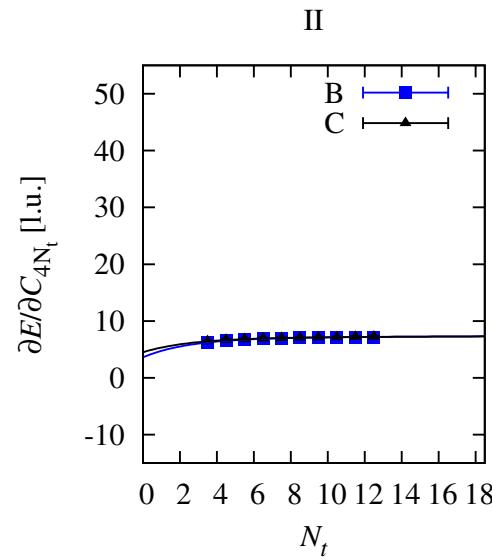
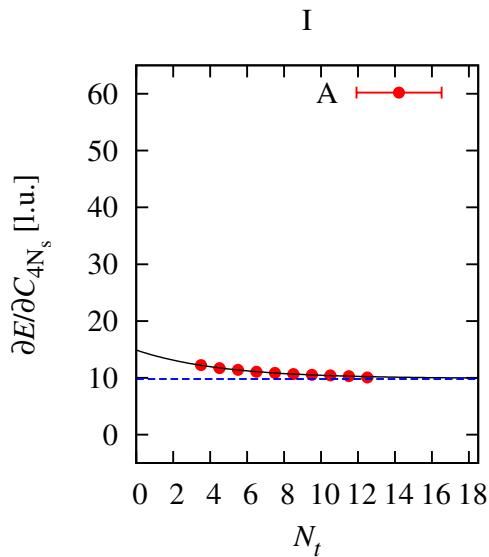
$\Rightarrow 0_2^+$  excited state: mostly square configs

$2_1^+$  excited state: rotational excitation of the  $0_2^+$

overlap w/ tetrahedral config.



overlap w/ square configs.



# RESULTS for $^{16}\text{O}$

- Spectrum:

	LO	NNLO(2N)	NNLO(3N)	$4\text{N}_{\text{eff}}$	Exp.
$0_1^+$	-147.3(5)	-121.4(5)	-138.8(5)	-131.3(5)	-127.62
$0_2^+$	-145(2)	-116(2)	-136(2)	-123(2)	-121.57
$2_1^+$	-145(2)	-116(2)	-136(2)	-123(2)	-120.70

- LO charge radius:  $r(0_1^+) = 2.3(1) \text{ fm}$  Exp.  $r(0_1^+) = 2.710(15) \text{ fm}$

⇒ compensate for this by rescaling with appropriate units of  $r/r_{\text{LO}}$

- LO EM properties:

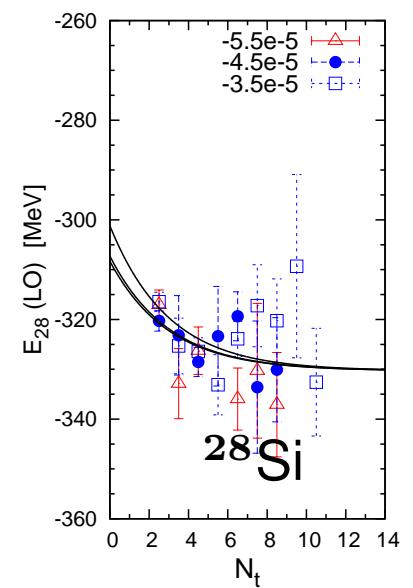
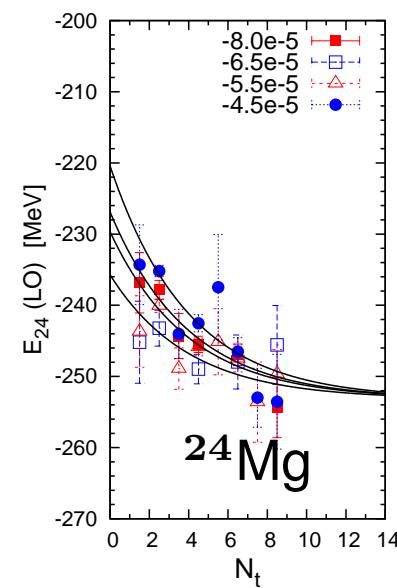
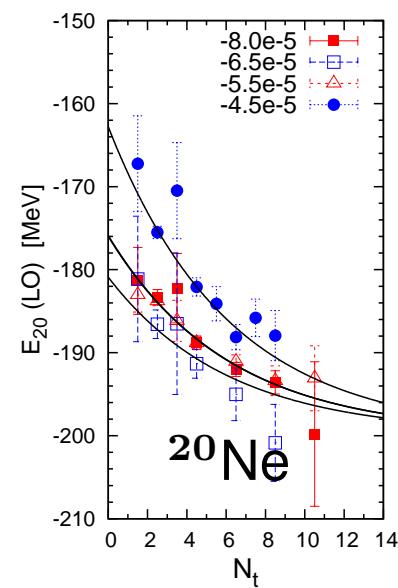
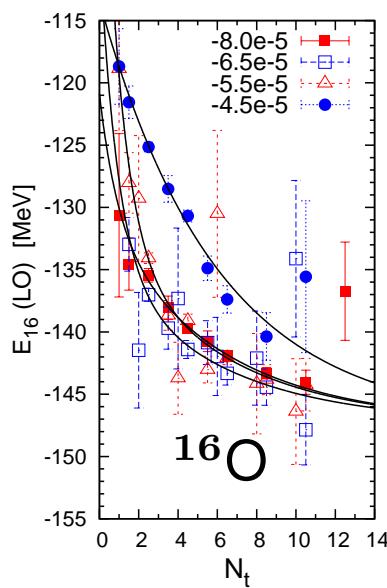
	LO	LO(r-scaled)	Exp.
$Q(2_1^+) [\text{e fm}^2]$	10(2)	15(3)	—
$B(E2, 2_1^+ \rightarrow 0_2^+) [\text{e}^2 \text{ fm}^4]$	22(4)	46(8)	65(7)
$B(E2, 2_1^+ \rightarrow 0_1^+) [\text{e}^2 \text{ fm}^4]$	3.0(7)	6.2(1.6)	7.4(2)
$M(E0, 0_2^+ \rightarrow 0_2^+) [\text{e fm}^2]$	2.1(7)	3.0(1.4)	3.6(2)

⇒ gives credit to the interpretation of the  $2_1^+$  as rotational excitation

# Towards medium-mass nuclei

# GOING up the ALPHA CHAIN

- Consider the  $\alpha$  ladder  $^{12}\text{C}$ ,  $^{16}\text{O}$ ,  $^{20}\text{Ne}$ ,  $^{24}\text{Mg}$ ,  $^{28}\text{Si}$  as  $t_{\text{CPU}} \sim A^2$
- Improved “multi-state” technique to extract ground state energies
  - $\Rightarrow$  higher  $A$ , better accuracy
  - $\Rightarrow$  overbinding at LO beyond  $A = 12$  persists up to NNLO



$$E = -131.3(5) \quad [-127.62]$$

$$E = -165.9(9) \quad [-160.64]$$

$$E = -232(2) \quad [-198.26]$$

$$E = -308(3) \quad [-236.54]$$

# REMOVING the OVERBINDING

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Lähde et al., Phys. Lett. B732 (2014) 110 [arXiv:1311.0477 [nucl-th]]

- Overbinding is due to four  $\alpha$  clusters in close proximity

⇒ remove this by an effective 4N operator [long term: N3LO]

$$V^{(4N_{\text{eff}})} = D^{(4N_{\text{eff}})} \sum_{1 \leq (\vec{n}_i - \vec{n}_j)^2 \leq 2} \rho(\vec{n}_1) \rho(\vec{n}_2) \rho(\vec{n}_3) \rho(\vec{n}_4)$$

- fix the coefficient  $D^{(4N_{\text{eff}})}$  from the BE of  ${}^{24}\text{Mg}$

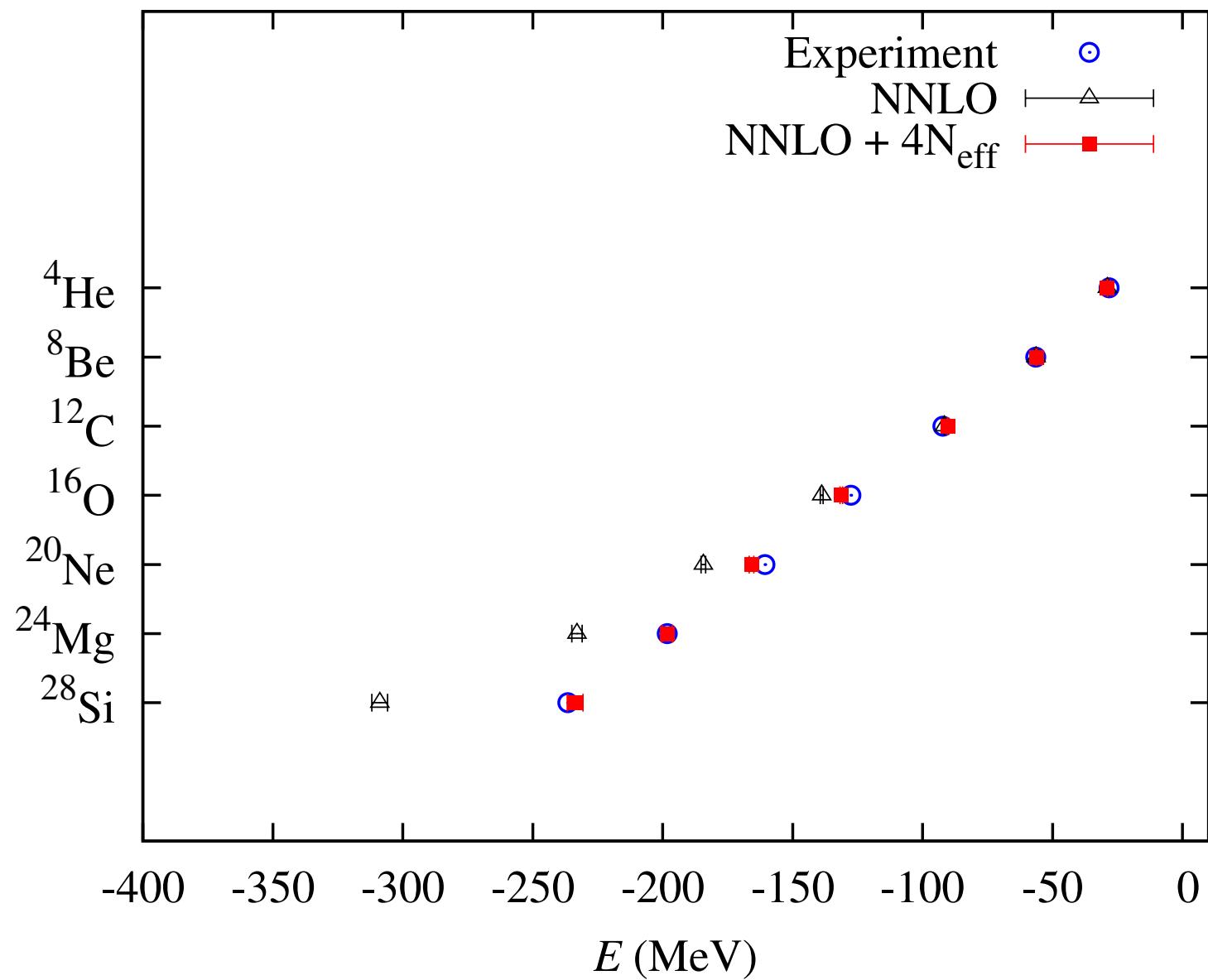
⇒ excellent description of the ground state energies

A	12	16	20	24	28
Th	-90.3(2)	-131.3(5)	-165.9(9)	-198(2)	-233(3)
Exp	-92.16	-127.62	-160.64	-198.26	-236.54

→ ultimately, reduce lattice spacing [interaction more repulsive] & N<sup>3</sup>LO

# GROUND STATE ENERGIES

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# Taming the sign problem: Simulations of $^{10}\text{Be}$ & $^{10}\text{C}$

# SYMMETRY-SIGN INTERPOLATION METHOD

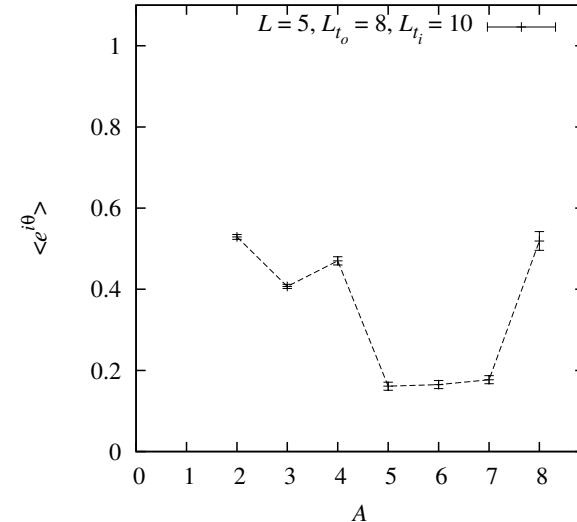
24

Epelbaum, Krebs, Lähde, Lee, UGM, Rupak, in preparation

- so far: nuclei with  $N = Z$ , and  $A = 4 \times \text{int}$   
as these have the least sign problem  
due to the approximate SU(4) symmetry

$$\langle \text{sign} \rangle = \langle \exp(i\theta) \rangle = \left\langle \frac{\det M(t_o, t_i, \dots)}{|\det M(t_o, t_i, \dots)|} \right\rangle$$

$M(t_o, t_i, \dots)$  is the transition matrix



Borasoy et al. (2007)

- Symmetry-sign interpolation method: control the sign oscillations

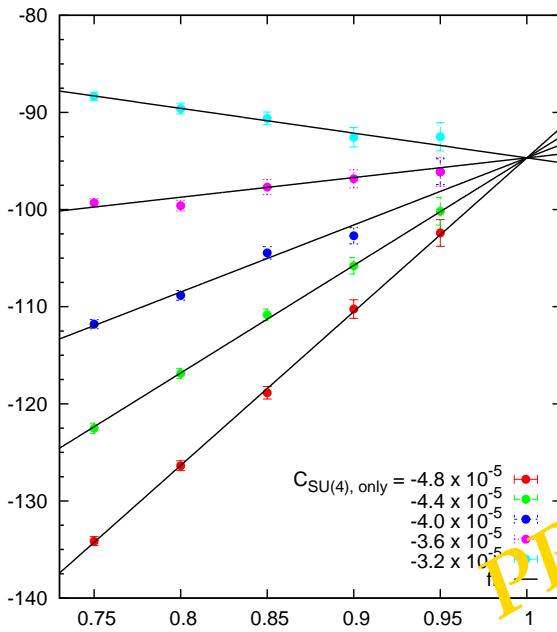
$$H_d = d \cdot H_{\text{phys}} + (1 - d) \cdot H_{\text{SU}(4)}$$

$$H_{\text{SU}(4)} = \frac{1}{2} C_{\text{SU}(4)} (N^\dagger N)^2$$

→ for a given  $d$ , dial the SU(4) coupling  $C_{\text{SU}(4)}$  so that  
the LO value of the g.s. energy for  $d = 1$  is reproduced

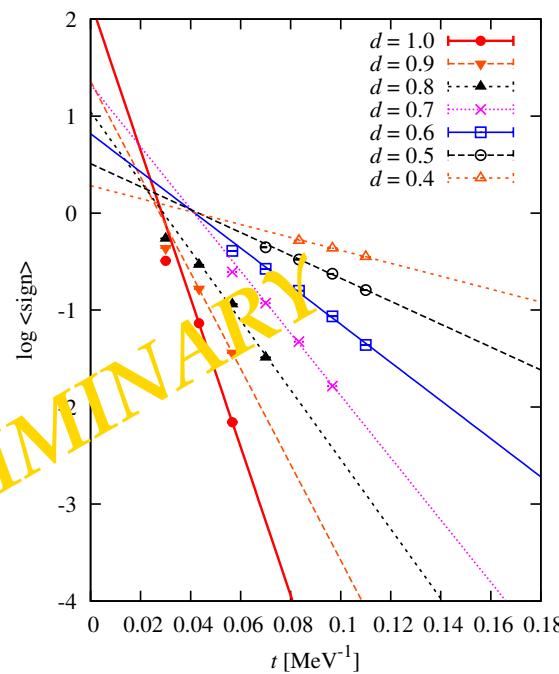
# SYMMETRY-SIGN INTERPOLATION: RESULTS

- A first test:  $^{12}\text{C}$



$E(^{12}\text{C}) = -94.68(34) \text{ MeV}$   
at  $t = 0.07 \text{ MeV}^{-1}$

- First results:  $A = 10$



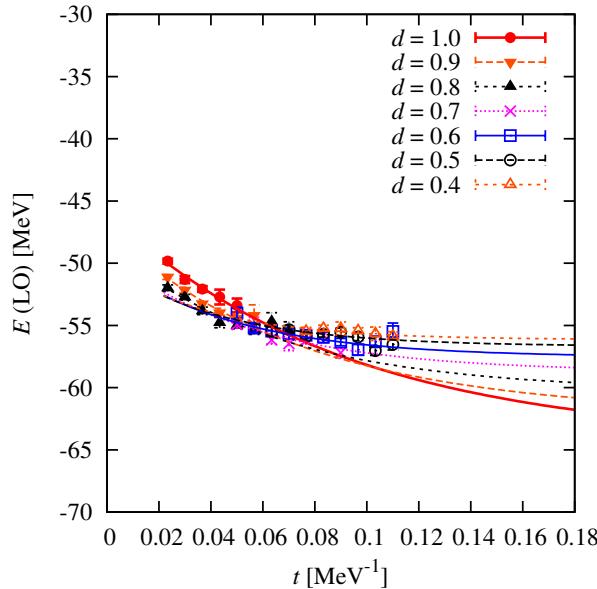
$$\begin{aligned} \langle (H_{\text{phys}}) \rangle &\sim 0.01 \\ \Rightarrow \langle (H_{0.7}) \rangle &\sim 0.1 \\ \langle (H_{\text{phys}}) \rangle &\sim 0.001 \\ \Rightarrow \langle (H_{0.5}) \rangle &\sim 0.1 \\ \langle (H_{\text{phys}}) \rangle &\sim 0.00000001 \\ \Rightarrow \langle (H_{0.35}) \rangle &\sim 0.1 \end{aligned}$$

$$\rightarrow \log \langle \text{sign} \rangle \sim -d^2 t$$

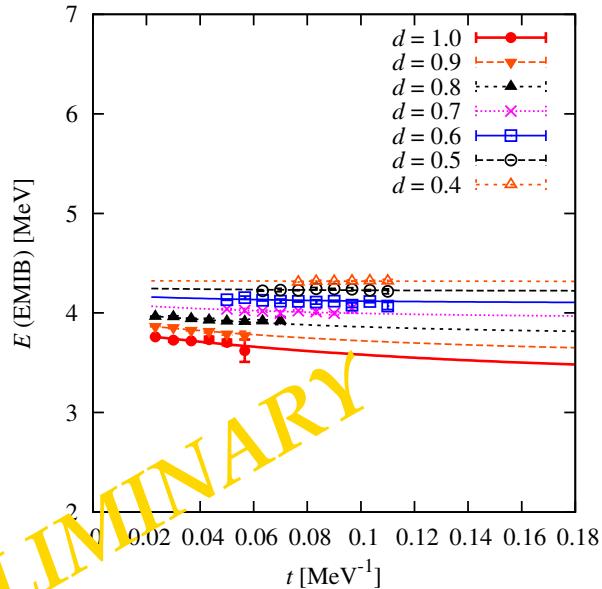
- tremendous suppression of the sign oscillations

# GROUND STATES of $^{10}\text{Be}$ and $^{10}\text{C}$

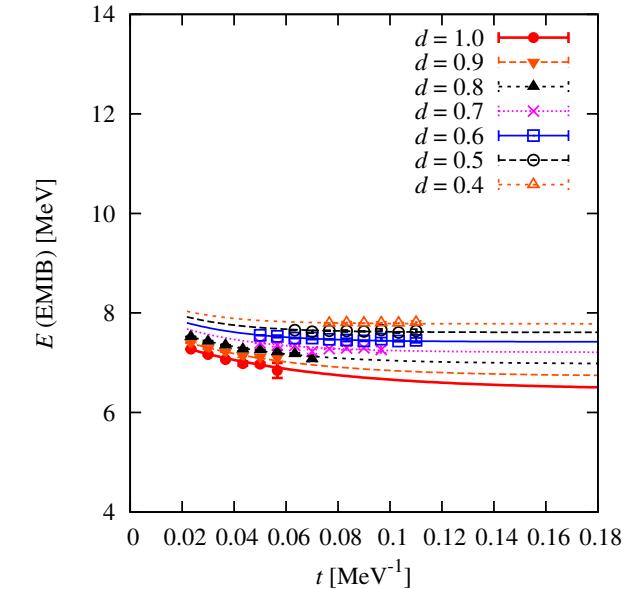
- LO energy



- NLO IV energy  $^{10}\text{Be}$



- NLO IV energy  $^{10}\text{C}$



	LO	NLO	NNLO	Exp.
$^{10}\text{Be}$	-64(2)	-55(2)	-63(2)	-64.98
$^{10}\text{C}$	-64(2)	-52(2)	-59(2)	-60.32

PRELIMINARY

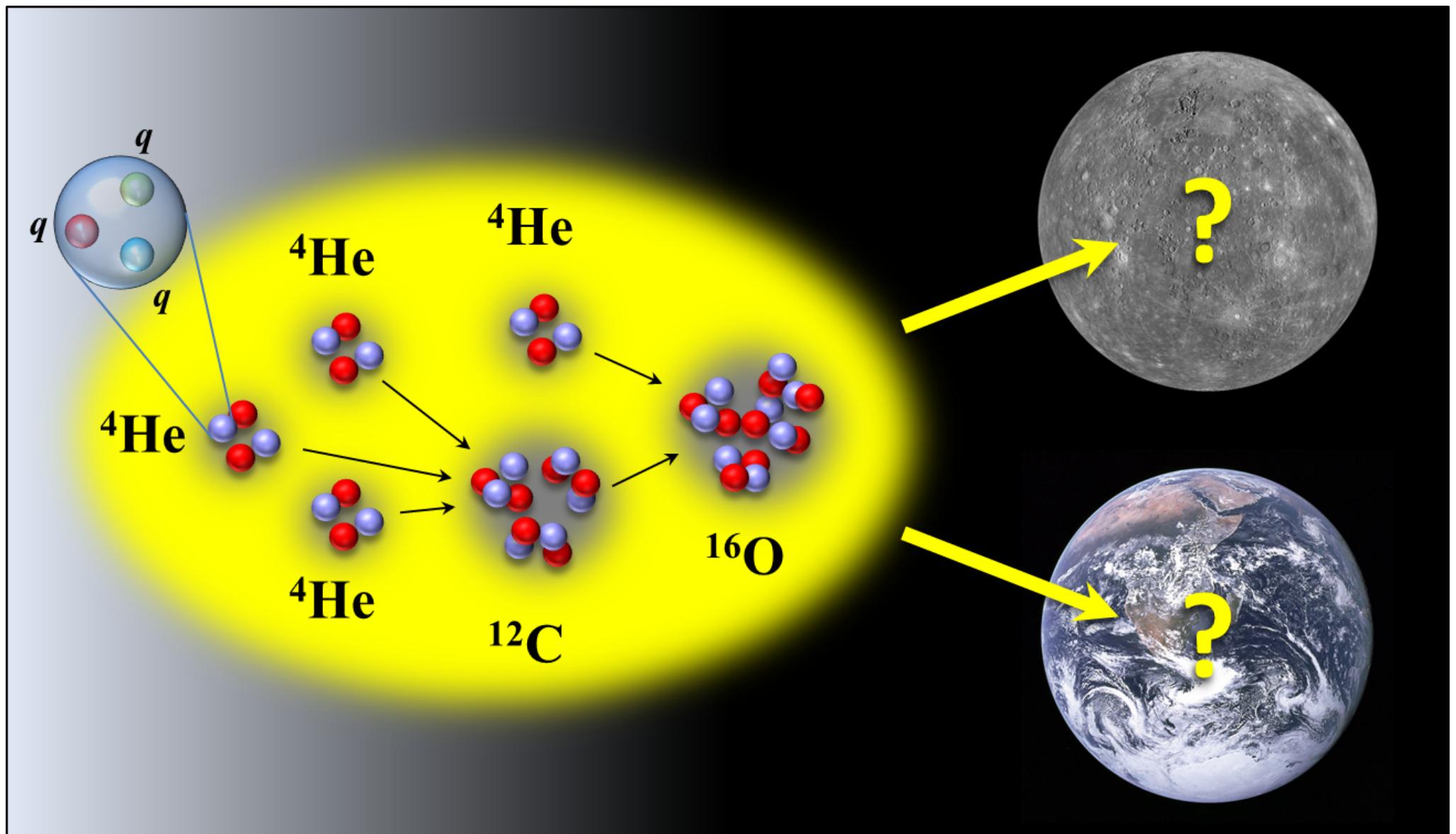
→ promising results!  
→ major step towards halos, drip lines, ...

# The fate of carbon-based life as a function of the quark mass

# FINE-TUNING of FUNDAMENTAL PARAMETERS

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Fig. courtesy Dean Lee



# FINE-TUNING: MONTE-CARLO ANALYSIS

Epelbaum, Krebs, Lähde, Lee, UGM, PRL **110** (2013) 112502, Eur. Phys. J. **A49** (2013) 82

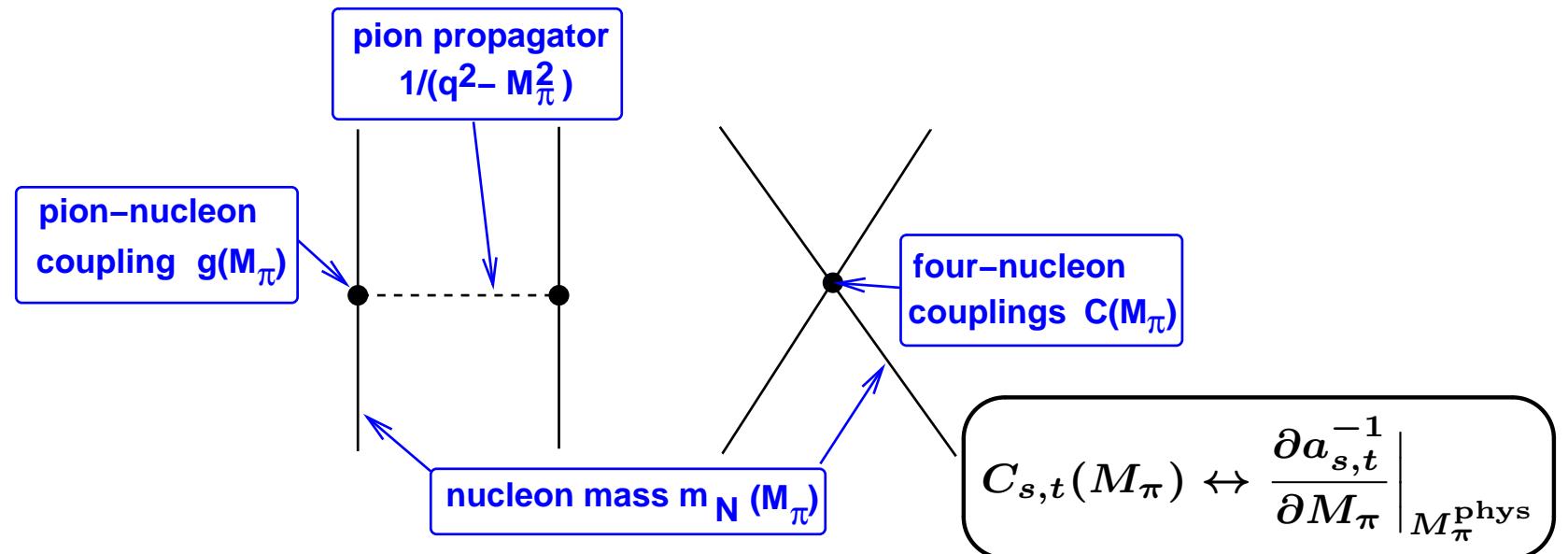
- simulations allow to vary  $m_{\text{quark}}$  and  $\alpha_{EM}$

- quark mass dependence  $\equiv$  pion mass dependence:

$$M_{\pi^\pm}^2 \sim (m_u + m_d)$$

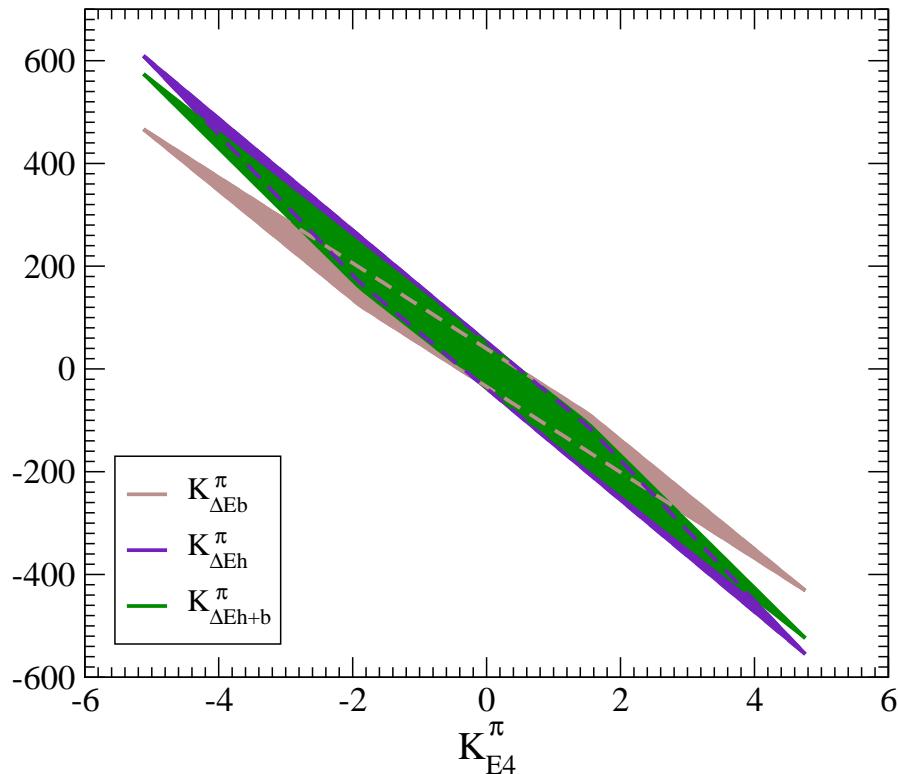
Gell-Mann, Oakes, Renner (1968)

- explicit and implicit pion mass dependences



# CORRELATIONS

- vary the quark mass derivatives of  $a_{s,t}^{-1}$  within  $-1, \dots, +1$ :



$$\Delta E_b = E(^8\text{Be}) - 2E(^4\text{He})$$

$$\Delta E_h = E(^{12}\text{C}^*) - E(^8\text{Be}) - E(^4\text{He})$$

$$\Delta E_{h+b} = E(^{12}\text{C}^*) - 3E(^4\text{He})$$

$$\frac{\partial O_H}{\partial M_\pi} = K_H^\pi \frac{O_H}{M_\pi}$$

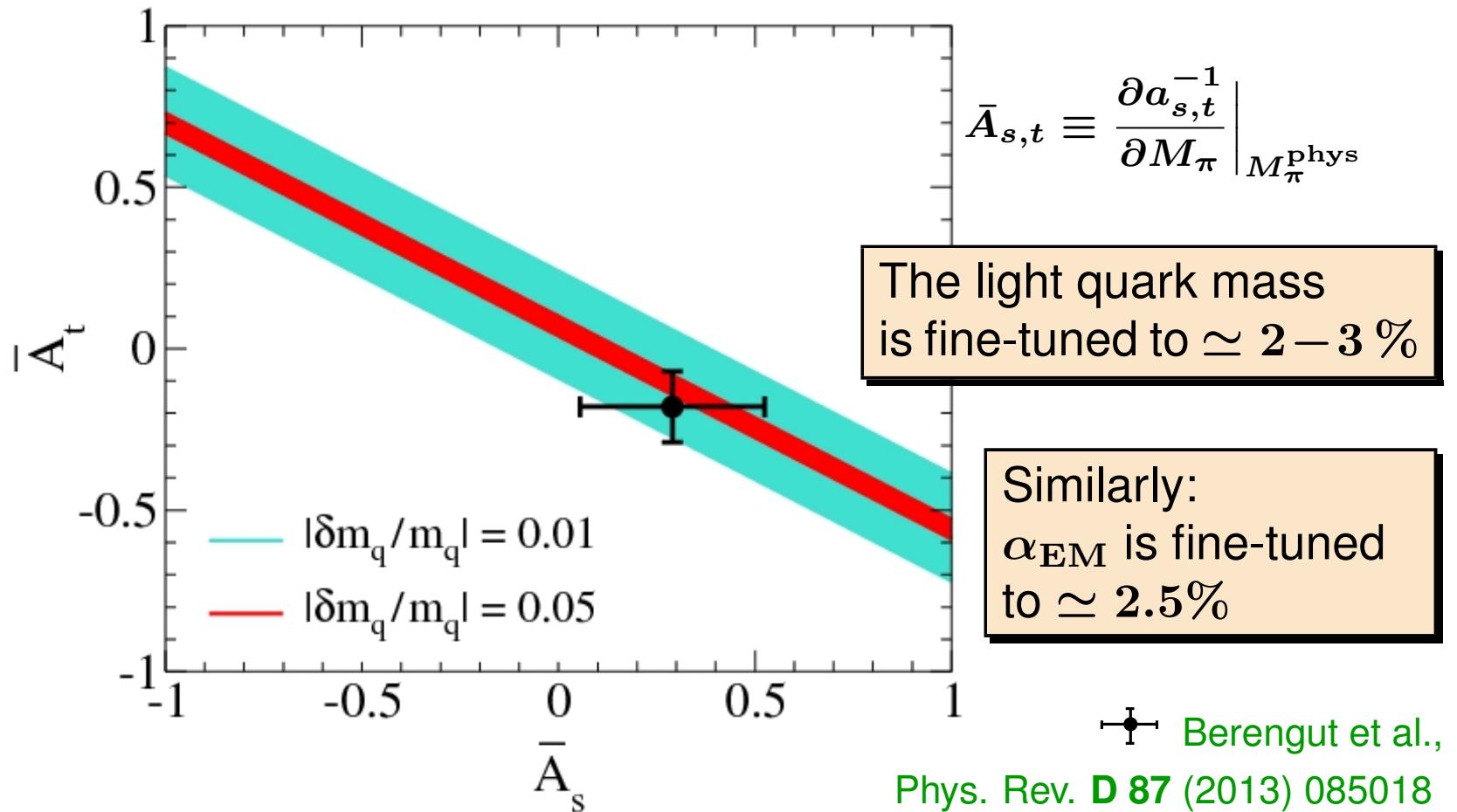
- clear correlations:  $\alpha$ -particle BE and the energies/energy differences

⇒ anthropic or non-anthropic scenario depends on whether the  ${}^4\text{He}$  BE moves!

# THE END-OF-THE-WORLD PLOT

- $|\delta(\Delta E_{h+b})| < 100 \text{ keV}$  Schlattl et al. (2004) [phys. value = 379.47(18) keV]

$$\rightarrow \left| \left( 0.571(14) \bar{A}_s + 0.934(11) \bar{A}_t - 0.069(6) \right) \frac{\delta m_q}{m_q} \right| < 0.0015$$



# OUTLOOK

- **Algorithmic improvements:**

- tame the sign problem  $\Rightarrow N \neq Z$  nuclei → first steps!
- improve extraction of em operator insertions
- improve action to minimize rotational symmetry breaking

- **Methodological improvements:**

- study the finite volume dependence of LO and higher order signals
  - study the finite  $a$  dependence of energies etc.
  - work out the forces to NNNLO and implement in MC codes
  - improve EoS for neutron matter and pairing gaps
  - reaction theory, first steps
- Lee, Pine, Rupak, ...

⇒ exciting times ahead of us

# SPARES

# PION EXCHANGE CONTRIBUTIONS

- Work to NNLO, need quark mass dependence of  $M_\pi, F_\pi, m_N, g_A$

⇒ using lattice + CHPT gives:  $K_{M_\pi}^q = 0.494^{+0.009}_{-0.013}$ ,  $K_{F_\pi}^q = 0.048 \pm 0.012$   
 $K_{m_N}^q = 0.048^{+0.002}_{-0.006}$

- situation for  $g_A$  not quite clear

LQCD data show little quark mass dep.

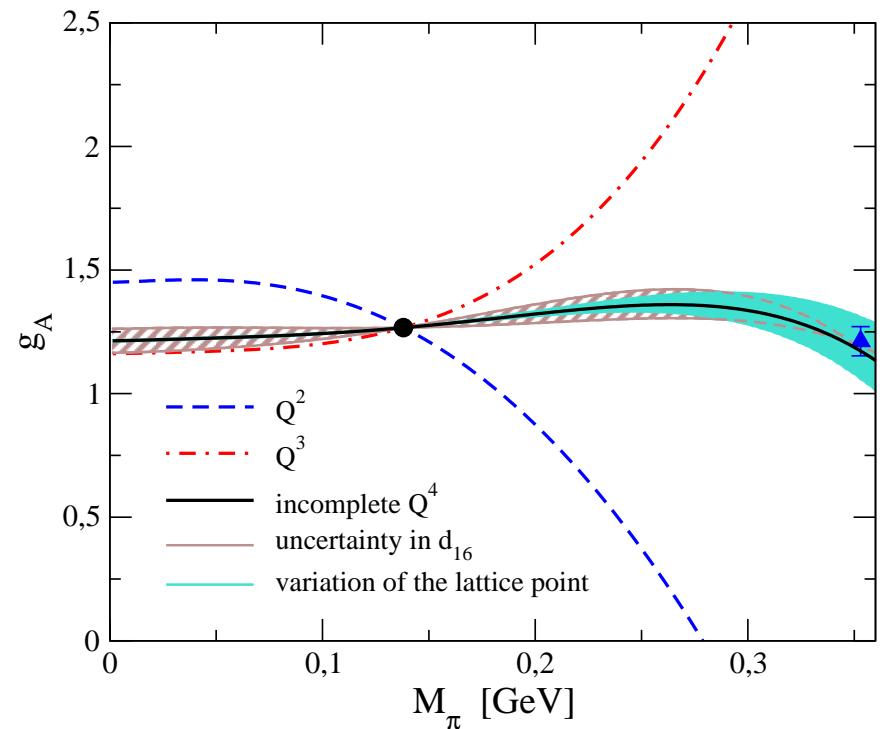
chiral expansion converges slowly

two-loop representation might suffice  
to make contact with flat LQCD data

Bernard, UGM (2006)

→ use a simplified two-loop representation

→ fixes quark mass dep. of  $V_{1\pi} + V_{2\pi}$



# QUARK MASS DEP. of the SHORT-DISTANCE TERMS

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- Consider a typical OBEP with  $M = \sigma, \rho, \omega, \delta, \eta$
- Quark mass dependence of the sigma and rho from unitarized CHPT

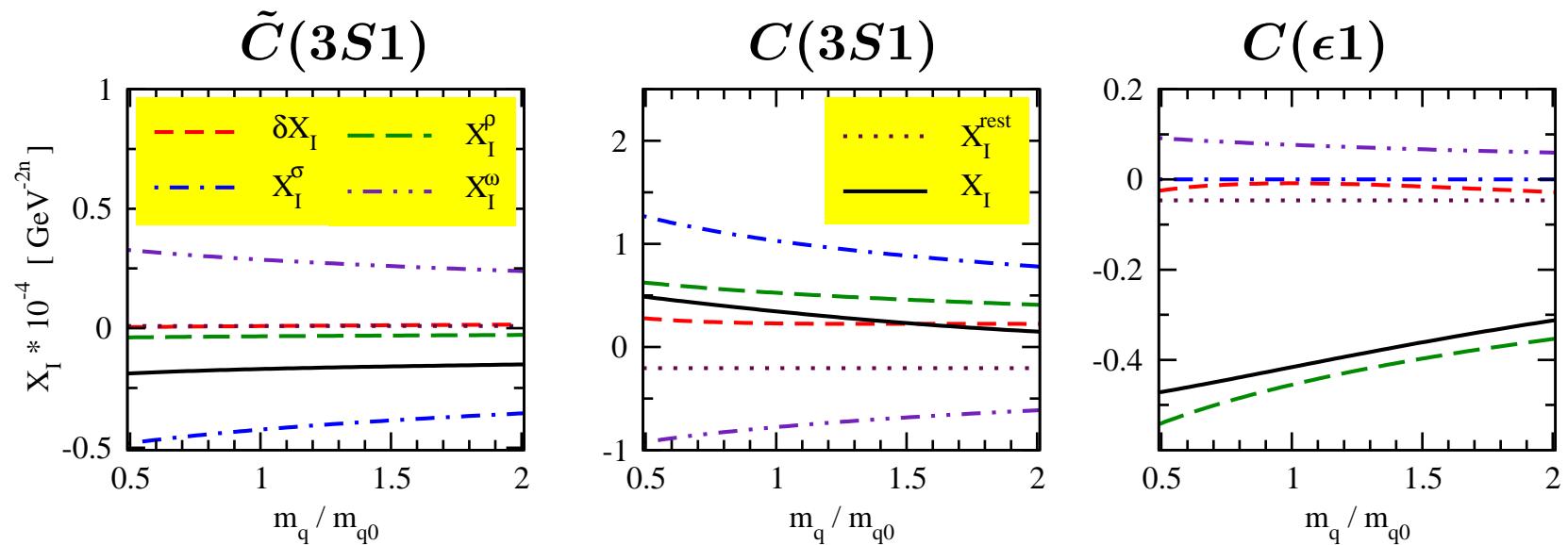
Hanhart, Pelaez, Rios (2008)

$$\Rightarrow K_{M_\sigma}^q = 0.081 \pm 0.007, \quad K_{M_\rho}^q = 0.058 \pm 0.002$$

$\Rightarrow$  couplings appear quark mass independent (requires refinement in the future)

- assume a) that  $K_\omega^q = K_\rho^q$  and b) neglect dep. of  $\delta, \eta$

$\Rightarrow$



# Impact on BBN

Berengut, Epelbaum, Flambaum, Hanhart, UGM, Nebreda, Pelaez,  
Phys. Rev. D **87** (2013) 085018

# QUARK MASS VARIATIONS of HEAVIER NUCLEI

- In BBN, we also need the variation of  ${}^3\text{He}$  and  ${}^4\text{He}$ . All other BEs are kept fixed.
- use the method of BLP:

Bedaque, Luu, Platter, PRC **83** (2011) 045803

$$K_{A\text{He}}^q = K_{a, 1S0}^{q, 1S0} K_{A\text{He}}^{a, 1S0} + K_{\text{deut}}^q K_{A\text{He}}^{\text{deut}}, \quad A = 3, 4$$

with

$$K_{{}^3\text{He}}^{a, 1S0} = 0.12 \pm 0.01, \quad K_{{}^3\text{He}}^{\text{deut}} = 1.41 \pm 0.01$$

$$K_{{}^4\text{He}}^{a, 1S0} = 0.037 \pm 0.011, \quad K_{{}^4\text{He}}^{\text{deut}} = 0.74 \pm 0.22$$

so that

$$\Rightarrow K_{{}^3\text{He}}^q = -0.94 \pm 0.75, \quad K_{{}^4\text{He}}^q = -0.55 \pm 0.42$$

- consistent w/ direct nuclear lattice simulation calc:

$$K_{{}^3\text{He}}^q = -0.YY \pm 0.XX, \quad K_{{}^4\text{He}}^q = -0.15 \pm 0.25$$

EKLLM, PRL **110** (2013) 112502

# BBN RESPONSE MATRIX

- calculate BBN response matrix of primordial abundances  $\mathbf{Y}_a$  at fixed baryon-to-photon ratio:

$$\frac{\delta \ln Y_a}{\delta \ln m_q} = \sum_{X_i} \frac{\partial \ln Y_a}{\partial \ln X_i} K_{X_i}^q$$

- use the updated Kawano code

Kawano, FERMILAB-Pub-92/04-A

X	d	${}^3\text{He}$	${}^4\text{He}$	${}^6\text{Li}$	${}^7\text{Li}$
$a_s$	-0.39	0.17	0.01	-0.38	2.64
$B_{\text{deut}}$	-2.91	-2.08	0.67	-6.57	9.44
$B_{\text{trit}}$	-0.27	-2.36	0.01	-0.26	-3.84
$B_{{}^3\text{He}}$	-2.38	3.85	0.01	-5.72	-8.27
$B_{{}^4\text{He}}$	-0.03	-0.84	0.00	-69.8	-57.4
$B_{{}^6\text{Li}}$	0.00	0.00	0.00	78.9	0.00
$B_{{}^7\text{Li}}$	0.03	0.01	0.00	0.02	-25.1
$B_{{}^7\text{Be}}$	0.00	0.00	0.00	0.00	99.1
$\tau$	0.41	0.14	0.72	1.36	0.43

# LIMITS for the QUARK MASS VARIATION

- Average of [deut/H] and  ${}^4\text{He}(Y_p)$ :

$$\frac{\delta m_q}{m_q} = 0.02 \pm 0.04$$

- in contrast to earlier studies, we provide reliable error estimates (EFT)
  - but: BLP find a stronger constraint due to the neutron life time (affects  $Y({}^4\text{He})$ )
  - re-evaluate this under the model-independent assumption that  
all quark & lepton masses vary with the Higgs VEV  $v$
- ⇒ results are dominated by the  ${}^4\text{He}$  abundance:

$$\left| \frac{\delta v}{v} \right| = \left| \frac{\delta m_q}{m_q} \right| \leq 0.9\%$$

# EARLIER STUDIES of the ANTHROPIC PRINCIPLE

- rate of the  $3\alpha$ -process:  $r_{3\alpha} \sim \Gamma_\gamma \exp\left(-\frac{\Delta E_{h+b}}{kT}\right)$
- $$\Delta E_{h+b} = E_{12}^\star - 3E_\alpha = 379.47(18) \text{ keV}$$

- how much can  $\Delta E_{h+b}$  be changed so that there is still enough  $^{12}\text{C}$  and  $^{16}\text{O}$ ?

$$\Rightarrow |\Delta E_{h+b}| \lesssim 100 \text{ keV}$$

Oberhummer et al., Science **289** (2000) 88

Csoto et al., Nucl. Phys. A **688** (2001) 560

Schlattl et al., Astrophys. Space Sci. **291** (2004) 27

[Livio et al., Nature **340** (1989) 281]

