

Resonating mean-field theoretical approach to shape coexistence in nuclei

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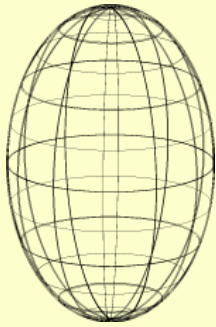
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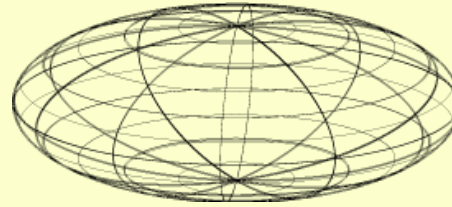
Introduction

(Prolate-Oblate) Shape Coexistence

There exist multi stable shapes in the same energy region



prolate
($\gamma = 0^\circ$)



oblate
($\gamma = 60^\circ$)

Explanation of shape coexistence in nuclei
by the usual mean-field(MF) theory

Each stable shapes



Different MF solutions

It can't describe the correlation between the multi energy minima
in the same energy region

$$\langle \textit{prolate} | \textit{oblate} \rangle = ?$$

◆ The resonating Hartree-Bogoliubov (Res-HB) theory ◆

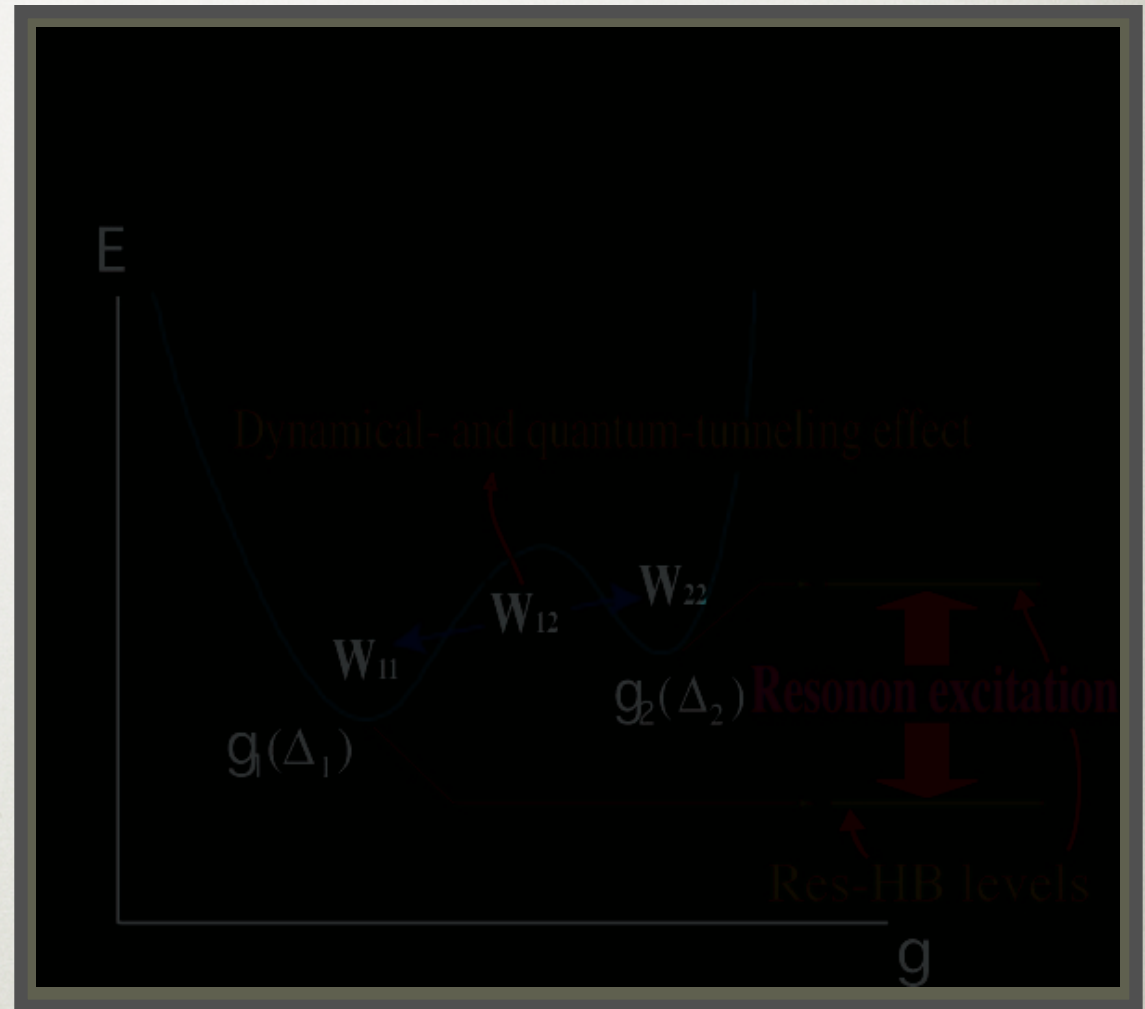
takes into account the quantum- and dynamical tunneling effects between different correlation states

$$|\Psi\rangle = \sum_s |g_s\rangle c_s$$

- c_s ... mixing coefficient
- The $|g_r\rangle$'s are non-orthogonal and represent different correlation states

• Normalization condition •

$$\langle\Psi|\Psi\rangle = 1$$



The Res-HB CI (configuration interaction) equation

$$\sum_s \{H[W_{rs}] - E\} \cdot [\det z_{rs}]^{\frac{1}{2}} c_s = 0$$

The Res-HB eigenvalue equation

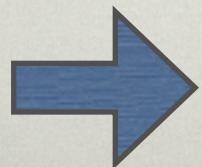
$$\left. \begin{aligned} [\mathcal{F}_r u_r]_i &= \epsilon_{ri} u_{ri}, \quad \epsilon_{ri} = \tilde{\epsilon}_{ri} - 2\{H[W_{rr}] - E\}|c_r|^2 \\ \mathcal{F}_r &\equiv \mathcal{F}[W_{rr}]|c_r|^2 + \sum'_s (\mathcal{K}_{rs} c_r^* c_s + \mathcal{K}_{rs}^\dagger c_r c_s^*) = \mathcal{F}_r^\dagger \end{aligned} \right\}$$

☆ Orbital concept is still surviving in the Res-HB state !?

The former application

S. Nishiyama and H. Fukutome, J.Phys. G: Nucl. Part. Phys. **18** (1992) 317.

A solution of the Res-HB eigenvalue eq. is not converged !



The Res-HB gap equation

Model

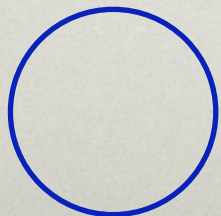
- 3-j orbital model

orbital energy [MeV]	$e_a = 4.8 \text{ (3.0)}$	$e_b = 3.0 \text{ (1.0)}$	$e_c = 3.0 \text{ (2.0)}$
principal quantum num.	$n_a = 1 \text{ (0)}$	$n_b = 0 \text{ (0)}$	$n_c = 1 \text{ (1)}$
angular momentum	$l_a = 3 \text{ (5)}$	$l_b = 4 \text{ (4)}$	$l_c = 2 \text{ (2)}$
spin	$j_a = 7 / 2 \text{ (11 / 2)}$	$j_b = 7 / 2 \text{ (7 / 2)}$	$j_c = 3 / 2 \text{ (3 / 2)}$

- Interaction strength of pair. int. : $g = 1.0 \text{ (1.0)}$
- Interaction strength of quad. int. : $X = 0.44 \text{ (0.44)}$
- Particle number : $PN = 18 \text{ (22)}$
- Harmonic oscillator parameter : $A = 9.0 \text{ (11.0)}$

Case I
Case II

- Introduce a chemical potential for particle num. conservation
- (Pairing interaction) + (Quadrupole interaction) model



Pairing int. plus Quadrupole int. model (P+QQ model)

(Baranger & Kumar Nucl.Phys.62(1965)113)

Parameters ... β , γ , Δ , λ

Two-step diagonalization

(1) Diagonalization of QQ force in the Hartree frame

(2) Diagonalization of Pairing interaction term

... Gap equation & Particle-number conservation

→ Δ , λ

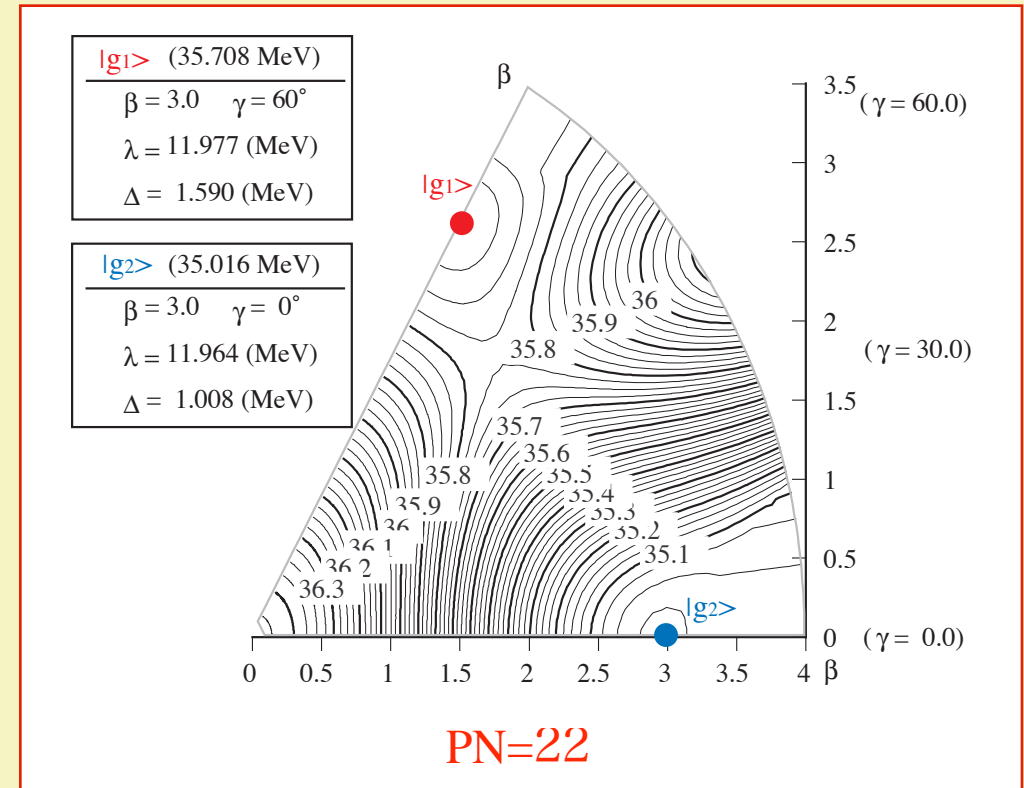
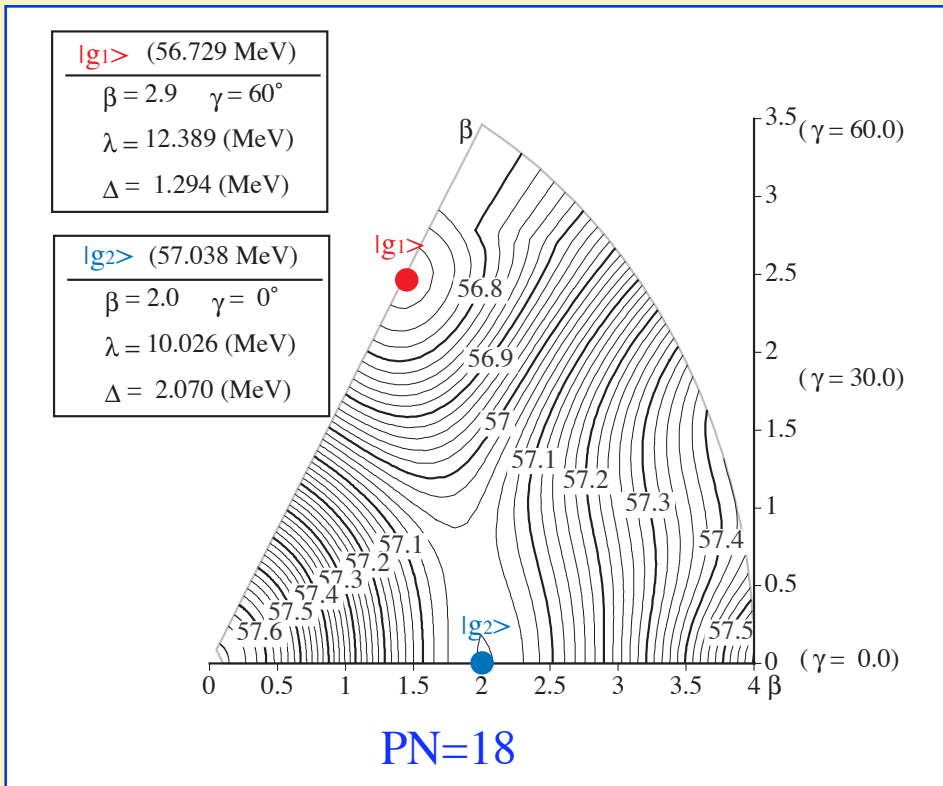
Variation of the MF energy

with respect to deformation parameters

$$\frac{\partial E}{\partial \beta} = 0, \quad \frac{\partial E}{\partial \gamma} = 0 \rightarrow \beta, \gamma$$

Choice of the superposed HB wavefunctions

Usual HB solutions



Superposition of two HB wave functions :

$$|\Psi\rangle = c_1 |g_1(\beta_1, \gamma_1, \Delta_1, \lambda)\rangle + c_2 |g_2(\beta_2, \gamma_2, \Delta_2, \lambda)\rangle$$

★ $(\beta_1, \gamma_1, \beta_2, \gamma_2)$ ← Usual HB solutions

The P+QQ model in the Res-HB theory

SCF Hartree potential

$$\Gamma_{k,\alpha\beta} \equiv \sum_{\mu} \langle \alpha | \hat{Q}_{\mu} | \beta \rangle \Gamma_{k,\mu} \quad (k = 1, 2)$$

$$\Gamma_{k,0} = \beta_k \cos \gamma_k, \quad \Gamma_{k,2'} = \beta_k \sin \gamma_k$$



Hartree approx.

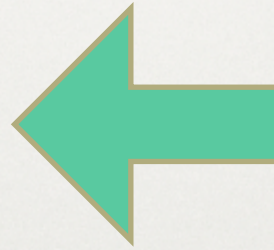
$$F_{kk,\alpha\beta} = (\varepsilon_{\alpha} - \lambda) \delta_{\alpha\beta} + \Gamma_{k,\alpha\beta}$$

$$F_{kk,\alpha\beta} w_{k,\beta i}^* = e_{k,i} w_{k,\alpha i}^*$$



Interstate density matrix

$$W_{rs} = \begin{bmatrix} R_{rs} & K_{rs} \\ -K_{sr}^* & 1 - R_{sr}^* \end{bmatrix}$$



BCS quantities

$$E_{k,i} = \left(e_{k,i}^2 + \Delta_k^2 \right)^{\frac{1}{2}}$$

$$V_{k,i} = \frac{1}{2} \left(1 - \frac{e_{i,k}}{E_{i,k}} \right) = 1 - U_{i,k}^2$$

$$\Delta_{rs} = \frac{1}{2} g s_{\delta} K_{rs,\delta\bar{\delta}} \quad (r, s = 1, 2)$$

$$\Gamma_{rs} = \sum_{\mu} \Gamma_{rs,\mu}, \quad \Gamma_{rs,\mu} = \chi \sum_{\alpha\beta} \langle \alpha | \hat{Q}_{\mu} | \beta \rangle R_{rs,\beta\alpha}$$

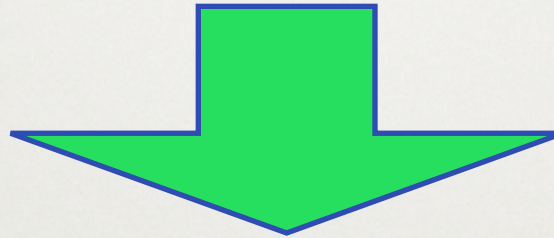
$$\Gamma_{rs,0} = \beta_{rs} \cos \gamma_{rs}, \quad \Gamma_{rs,2'} = \beta_{rs} \sin \gamma_{rs}$$

Hamiltonian matrix elements

$$H[W_{11}] = \sum_{\alpha} (e_a - \lambda) R_{11,\alpha\alpha} - \frac{1}{g} |\Delta_{11}|^2 - \frac{1}{2\chi} \beta_{11}^2$$

$$H[W_{22}] = \sum_{\alpha} (e_a - \lambda) R_{22,\alpha\alpha} - \frac{1}{g} |\Delta_{22}|^2 - \frac{1}{2\chi} \beta_{22}^2$$

$$H[W_{12}] = \sum_{\alpha} (e_a - \lambda) R_{12,\alpha\alpha} - \frac{1}{g} \Delta_{12} \Delta_{21} - \frac{1}{2\chi} \beta_{12}^2 = H[W_{21}]$$



$$E = \begin{bmatrix} E_{\text{gr}}^{\text{Res}} \\ E_{\text{ex}}^{\text{Res}} \end{bmatrix} = \frac{1}{2(1 - \det z_{12})} \left\{ H[W_{11}] + H[W_{22}] - 2H[W_{12}] \cdot \det z_{12} \mp E_{\text{dis}}^{\frac{1}{2}} \right\}$$

$$E_{\text{dis}} \equiv (H[W_{11}] - H[W_{22}])^2 + 4(H[W_{11}] - H[W_{12}]) \\ \times (H[W_{22}] - H[W_{12}]) \cdot \det z_{12}$$

Res-HB theoretical gap equations

$$\frac{\partial}{\partial \Delta_1} E_{\text{gr}}^{\text{Res}}(\Delta_1, \Delta_2) = 0, \quad \frac{\partial}{\partial \Delta_2} E_{\text{gr}}^{\text{Res}}(\Delta_1, \Delta_2) = 0$$

$$\xrightarrow{|c_r|^2 \rightarrow 1} \text{HB gap eq.}$$

Particle-number conservation conditions

$$\mathcal{N} - PN = 0 \quad \text{where} \quad \mathcal{N} = \langle \Psi | \hat{N} | \Psi \rangle$$

for the Res-HB field

$$\sum_{i=1}^N V_{r,i}^* V_{r,i} - PN = 0 \quad (r = 1, 2)$$

for the each superposed HB field

Energy gap

$$\begin{aligned}\Delta_{\text{gr(ex)}}^{\text{Res}} &= \frac{1}{2}g \langle \Psi_{\text{gr(ex)}} | \sum_{\alpha} s_{\alpha} c_{\bar{\alpha}} c_{\alpha} | \Psi_{\text{gr(ex)}} \rangle \\ &= \Delta_{11} |c_{1,\text{gr(ex)}}|^2 + \Delta_{22} |c_{2,\text{gr(ex)}}|^2 \\ &\quad + \Delta_{12} c_{1,\text{gr(ex)}}^* c_{2,\text{gr(ex)}} [\det z_{12}]^{\frac{1}{2}} + \Delta_{21} c_{1,\text{gr(ex)}} c_{2,\text{gr(ex)}}^* [\det z_{12}^*]^{\frac{1}{2}}\end{aligned}$$

Deformation parameters

$$\begin{aligned}\Gamma_{\text{gr(ex)},0}^{\text{Res}} &= \Gamma_{11,0} |c_{1,\text{gr(ex)}}|^2 + \Gamma_{22,0} |c_{2,\text{gr(ex)}}|^2 \\ &\quad + \Gamma_{12,0} \left(c_{1,\text{gr(ex)}}^* c_{2,\text{gr(ex)}} [\det z_{12}]^{\frac{1}{2}} + c_{1,\text{gr(ex)}} c_{2,\text{gr(ex)}}^* [\det z_{12}^*]^{\frac{1}{2}} \right)\end{aligned}$$

$$\begin{aligned}\Gamma_{\text{gr(ex)},2'}^{\text{Res}} &= \Gamma_{11,2'} |c_{1,\text{gr(ex)}}|^2 + \Gamma_{22,2'} |c_{2,\text{gr(ex)}}|^2 \\ &\quad + \Gamma_{12,2'} \left(c_{1,\text{gr(ex)}}^* c_{2,\text{gr(ex)}} [\det z_{12}]^{\frac{1}{2}} + c_{1,\text{gr(ex)}} c_{2,\text{gr(ex)}}^* [\det z_{12}^*]^{\frac{1}{2}} \right)\end{aligned}$$

$$\Gamma_{\text{gr(ex)},0}^{\text{Res}} = \beta_{\text{gr(ex)}}^{\text{Res}} \cos \gamma_{\text{gr(ex)}}^{\text{Res}}, \quad \Gamma_{\text{gr(ex)},2'}^{\text{Res}} = \beta_{\text{gr(ex)}}^{\text{Res}} \sin \gamma_{\text{gr(ex)}}^{\text{Res}}$$

Numerical results for case I (PN=18)

(energy in MeV)

		HB	Res-HB gr.	Res-HB ex.
Input	β_1	2.9	2.9	
	β_2	2.0	2.0	
	γ_1	60°	60°	
	γ_2	0°	0°	
	Δ_1	1.29	0.37	
	Δ_2	2.07	0.64	
	λ	12.340, 10.026	0.000	
Output	H[W ₁₁] (E _{HB})	56.729	56.784	
	H[W ₂₂] (E _{HB})	57.038	57.397	
	H[W ₁₂]· [det z ₁₂] ^{1/2}		-6.364	
	C ₁		0.723	0.691
	C ₂		0.690	-0.724
	[det z ₁₂] ^{1/2}		1.370E-3	
	E _{Res}		50.650	63.549
	$\mathcal{N}$	18.000	18.000	18.000
	β_{Res}		2.381	2.508
	γ_{Res}		35.404°	33.801°
	Δ_{Res}		0.297	0.182

Numerical results for case II (PN=22)

(energy in MeV)

		HB	Res-HB gr.	Res-HB ex.
Input	β_1	3.0	3.0	
	β_2	3.0	3.0	
	γ_1	60°	60°	
	γ_2	0°	0°	
	Δ_1	1.59	0.16	
	Δ_2	1.01	0.43	
	λ	11.978, 11.964	-0.005	
Output	H[W ₁₁] (E _{HB})	35.708	35.865	
	H[W ₂₂] (E _{HB})	35.017	35.135	
	H[W ₁₂]· [det z ₁₂] ^{1/2}		-2.585	
	C ₁		0.655	0.755
	C ₂		0.755	-0.655
	[det z ₁₂] ^{1/2}		2.233E-4	
	E _{Res}		33.653	39.000
	$\mathcal{N}$	22.000	22.000	22.000
	β_{Res}		2.778	2.865
	γ_{Res}		26.780°	36.371°
	Δ_{Res}		0.221	0.037

Discussion

Interstate deformation parameters

$$\Delta'_{rs} \equiv \Delta_{rs} \cdot [\det z_{rs}]^{\frac{1}{4}}, \quad \beta'_{rs} \equiv \beta_{rs} \cdot [\det z_{rs}]^{\frac{1}{4}}$$

$$E^{\text{Res}} = \sum_{r,s} \langle g_r | H | g_s \rangle c_r^* c_s$$

$$\langle g_r | H | g_s \rangle = H[W_{rs}] \cdot [\det z_{rs}]^{\frac{1}{2}}$$

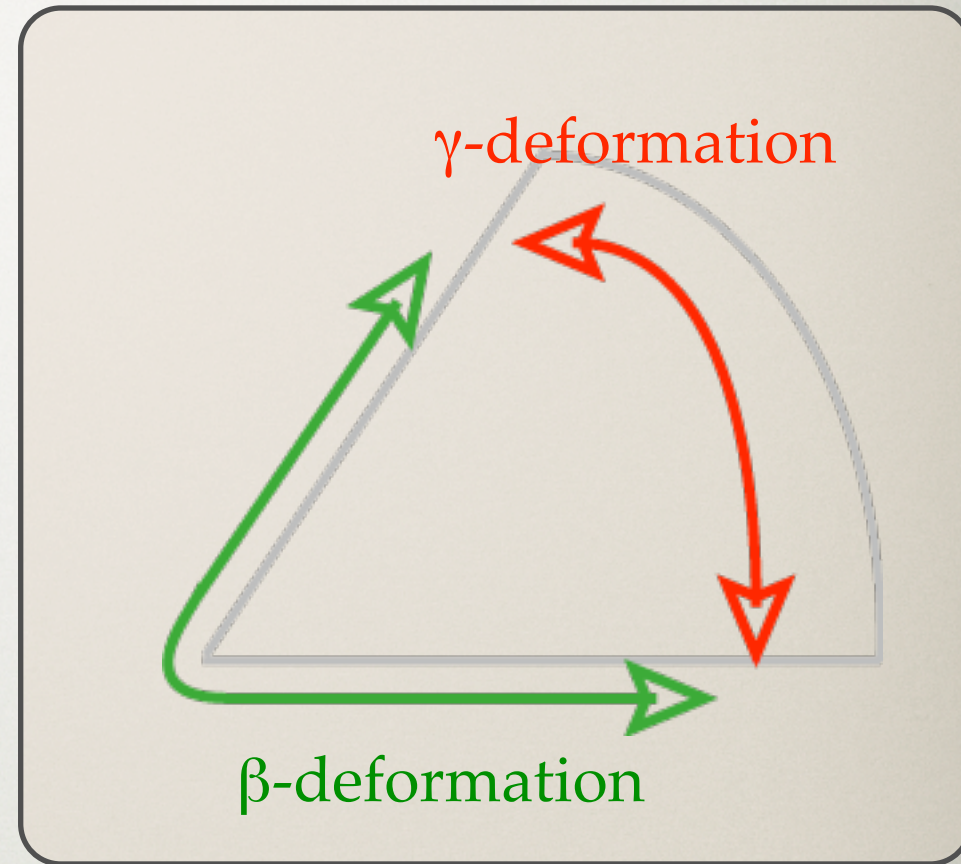
$$= \left\{ \sum_{\alpha} (e_{\alpha} - \lambda) R_{rs,\alpha\alpha} \right\} \cdot [\det z_{rs}]^{\frac{1}{2}} - \frac{1}{g} |\Delta'_{rs}|^2 - \frac{1}{2\chi} \beta'^2_{rs}$$

Case I (PN=18)

β'_{11}	3.16	γ'_{11}	60.0°	Δ'_{11}	0.44
β'_{12}	2.30	γ'_{12}	55.5°	Δ'_{12}	-4.80
β'_{22}	2.44	γ'_{22}	0.8°	Δ'_{21}	-0.88
				Δ'_{22}	-0.92

Case II (PN=22)

β'_{11}	3.41	γ'_{11}	60.0°	Δ'_{11}	0.19
β'_{12}	1.22	γ'_{12}	55.6°	Δ'_{12}	-6.14
β'_{22}	3.08	γ'_{22}	0.0°	Δ'_{21}	-0.14
				Δ'_{22}	-0.45



◆ Summary ◆

- ❑ Superposition of two HB wave functions
by using the parameters of the HB solutions
- ❑ The Res-HB theoretical gap equations
- ❑ The HB theoretical picture holds in the Res-MF field
- ❑ The ground state is shifted to lower state than the HB one
- ❑ A new definition of interstate deformation parameters

SO(2N) coherent state representation of a fermion system

◆ SO(2N) Lie algebra which is constructed by pair operators;

$$E_{\beta}^{\alpha} = c_{\alpha}^{\dagger} c_{\beta} - \frac{1}{2} \delta_{\alpha\beta}, \quad E_{\alpha\beta} = c_{\alpha} c_{\beta}, \quad E^{\alpha\beta} = c_{\alpha}^{\dagger} c_{\beta}^{\dagger}$$

$$E_{\beta}^{\alpha\dagger} = E_{\alpha}^{\beta}, \quad E^{\alpha\beta} = -E_{\alpha\beta}^{\dagger}$$

◆ SO(2N) Bogoliubov transformation

$$U(g)(c, c^{\dagger})U^{\dagger}(g) = (c, c^{\dagger})g,$$

$$g \equiv \begin{bmatrix} a & b^{*} \\ b & a^{*} \end{bmatrix}, \quad g^{\dagger}g = gg^{\dagger} = 1$$

- State vector

$$|\Psi\rangle = 2^{N-1} \int U(g) |0\rangle \langle 0| U^\dagger(g) |\Psi\rangle dg = 2^{N-1} \int |g\rangle \Psi(g) dg$$

- Overlap integral

$$\langle g|g'\rangle = \langle 0| U^\dagger(g) U(g') |0\rangle = \langle 0| U(g^\dagger g') |0\rangle$$

◆ Schrödinger equation in coherent state representation →

$$\int \{ \langle g| H |g'\rangle - E \langle g|g'\rangle \} \Psi(g') dg' = 0$$

$$\langle g| H |g'\rangle = \langle 0| U^\dagger(g) H U(g') |0\rangle$$

The interstate density matrix and the Schrödinger equation

2N×N isometric matrix

$$u = \begin{bmatrix} b \\ a \end{bmatrix}, \quad u^\dagger u = 1$$

Overlap integral

$$\left. \begin{aligned} \langle g|g' \rangle &= [\det (a^\dagger a' + b^\dagger b')]^{\frac{1}{2}} = [\det z]^{\frac{1}{2}} \\ z &\equiv u^\dagger u', \quad z = (z_{ij}) \end{aligned} \right\}$$

Interstate density matrix

$$W(g, g') = u' z^{-1} u^\dagger = \begin{bmatrix} R(g, g') & K(g, g') \\ -K^*(g, g') & 1 - R^*(g, g') \end{bmatrix}$$

$$R(g, g') = b' z^{-1} b^\dagger, \quad K(g, g') = b' z^{-1} a^\dagger$$

Hamiltonian of the system

$$\left. \begin{aligned} H &= h_{\alpha\beta} \left(E_{\beta}^{\alpha} + \frac{1}{2} \delta_{\alpha\beta} \right) + \frac{1}{4} [\alpha\beta|\gamma\delta] E^{\alpha\gamma} E_{\delta\beta} \\ [\alpha\beta|\gamma\delta] &= -[\alpha\delta|\gamma\beta] = [\gamma\delta|\alpha\beta] = [\beta\alpha|\delta\gamma]^* \end{aligned} \right\}$$

Hamiltonian matrix element

$$\langle g | H | g' \rangle = H[W(g, g')] \cdot [\det z]^{\frac{1}{2}}$$

$$\begin{aligned} H[W(g, g')] &= h_{\alpha\beta} R_{\beta\alpha}(g, g') \\ &+ \frac{1}{2} [\alpha\beta|\gamma\delta] \left\{ R_{\beta\alpha}(g, g') R_{\delta\gamma}(g, g') - \frac{1}{2} K_{\alpha\gamma}^*(g', g) K_{\delta\beta}(g, g') \right\} \end{aligned}$$

← The explicit expression of the integral Schrödinger equation →

$$\int \{ H[W(g, g')] - E \} \cdot [\det z]^{\frac{1}{2}} \Psi(g') dg' = 0$$

Resonating Hartree-Bogoliubov approximation

The state $|\Psi\rangle$ is approximated as

$$|\Psi\rangle = \sum_s |g_s\rangle c_s$$

- c_s ... mixing coefficient
- The $|g_r\rangle$'s are non-orthogonal and represent different correlation states

The matrix z and the HB interstate density matrix

$$z_{rs} = u_r^\dagger u_s, \quad W_{rs} = u_s z_{rs}^{-1} u_r^\dagger$$

Normalization condition

$$\langle\Psi|\Psi\rangle = \sum_{rs} \langle g_r | g_s \rangle c_r^* c_s = \sum_{rs} [\det z_{rs}]^{\frac{1}{2}} c_r^* c_s = 1$$

Expectation value of the Hamiltonian

$$\langle\Psi| H |\Psi\rangle = \sum_{rs} \langle g_r | H | g_s \rangle c_r^* c_s = \sum_{rs} H[W_{rs}] [\det z_{rs}]^{\frac{1}{2}} c_r^* c_s$$

Lagrangian

$$L = \langle \Psi | H | \Psi \rangle - E \langle \Psi | \Psi \rangle = \sum_{rs} \{ H[W_{rs}] - E \} [\det z_{rs}]^{\frac{1}{2}} c_r^* c_s$$

The Res-HB CI(configuration interaction) equation

$$\sum_s \{ H[W_{rs}] - E \} \cdot [\det z_{rs}]^{\frac{1}{2}} c_s = 0$$

The Res-HB equation

$$\left. \begin{aligned} \sum_s \mathcal{K}_{rs} c_r^* c_s &= 0 \\ \mathcal{K}_{rs} &\equiv \{ (1 - W_{rs}) \mathcal{F}[W_{rs}] + H[W_{rs}] - E \} \cdot W_{rs} \cdot [\det z_{rs}]^{\frac{1}{2}} \end{aligned} \right\}$$

Interstate Fock operator

$$\mathcal{F}[W_{rs}] \equiv \begin{bmatrix} F(g_r, g_s) & D(g_r, g_s) \\ -D^*(g_r, g_s) & -F^*(g_r, g_s) \end{bmatrix}$$

$$\left. \begin{aligned} F_{\alpha\beta}(g_r, g_s) &\equiv \frac{\delta H[W(g_r, g_s)]}{\delta R_{\beta\alpha}(g_r, g_s)} = h_{\alpha\beta} + [\alpha\beta|\gamma\delta] R_{\delta\gamma}(g_r, g_s), \\ D_{\alpha\beta}(g_r, g_s) &\equiv \frac{\delta H[W(g_r, g_s)]}{\delta K_{\beta\alpha}^*(g_s, g_r)} = -\frac{1}{2} [\alpha\gamma|\beta\delta] K_{\delta\gamma}(g_r, g_s). \end{aligned} \right\}$$

The Res-HB eigenvalue equation

$$\left. \begin{aligned} [\mathcal{F}_r u_r]_i &= \epsilon_{ri} u_{ri}, \quad \epsilon_{ri} = \tilde{\epsilon}_{ri} - 2\{H[W_{rr}] - E\}|c_r|^2 \\ \mathcal{F}_r &\equiv \mathcal{F}[W_{rr}]|c_r|^2 + \sum'_s (\mathcal{K}_{rs} c_r^* c_s + \mathcal{K}_{rs}^\dagger c_r c_s^*) = \mathcal{F}_r^\dagger \end{aligned} \right\}$$

where $\tilde{\epsilon}_r = (\delta_{ij} \tilde{\epsilon}_{ri})$ is given by ;

$$u_r^\dagger \mathcal{F}_r u_r + 2\{H[W_{rr}] - E\}|c_r|^2 = u_r^\dagger \mathcal{F}[W_{rr}]|c_r|^2 u_r = \tilde{\epsilon}_r$$

$$\tilde{\epsilon}_r = \begin{bmatrix} \tilde{\epsilon}_{r1} & & & & \\ & \ddots & & & \\ & & \tilde{\epsilon}_{rN} & & \\ & & & -\tilde{\epsilon}_{r1} & \\ & 0 & & & \ddots \\ & & & & & -\tilde{\epsilon}_{rN} \end{bmatrix}$$

☆ Orbital concept is still surviving in the Res-HB state !?

☆ The eigenvalues $\tilde{\epsilon}_{ri}$ tend to the usual HB orbital energies in the limit $|c_r|^2 \rightarrow 1$